

# Imaging and Deconvolution

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Sixteenth Synthesis Imaging Workshop  
16-23 May 2018

thanks to  
M. MacGregor  
L. Matra



# Overview

- gain intuition about interferometric imaging
- understand the need for deconvolution

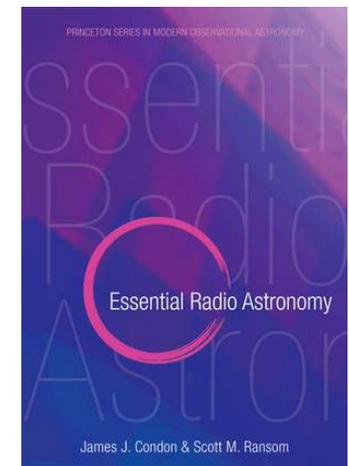
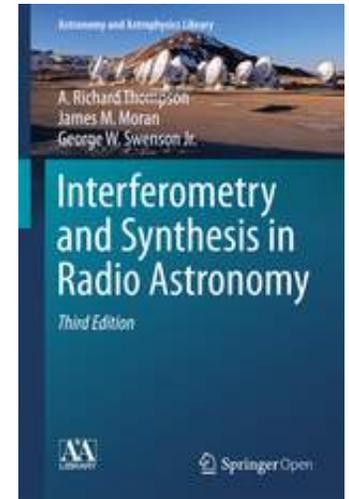
## topics

- get comfortable with Fourier Transforms
- review “visibility” concept and sampling the  $u,v$  plane
- formal description of imaging
- imaging in practice
- deconvolution and the clean algorithm

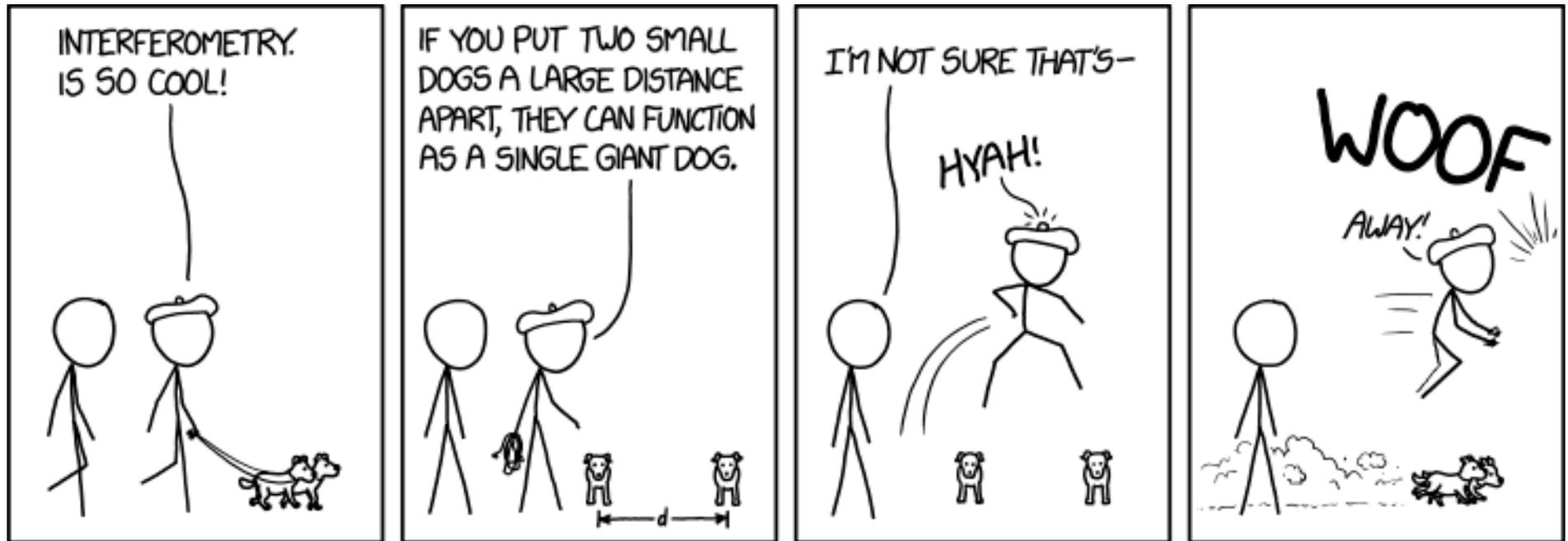


# References

- Thompson, A.R., Moran, J.M. & Swensen, G.W. 2017, “Interferometry and Synthesis in Radio Astronomy” 3<sup>rd</sup> edition
  - open access: download pdf on [link.springer.com](http://link.springer.com) (free!)
- past NRAO Synthesis Imaging Workshop proceedings
  - Perley, R.A., Schwab, F.R., Bridle, A.H., eds. 1989, ASP Conference Series 6, “Synthesis Imaging in Radio Astronomy”
  - lecture slides: [www.aoc.nrao.edu/events/synthesis](http://www.aoc.nrao.edu/events/synthesis)
- IRAM 2000 Interferometry School proceedings
  - [www.iram.fr/IRAMFR/IS/IS2008/archive.html](http://www.iram.fr/IRAMFR/IS/IS2008/archive.html)
- Condon, J.J. & Ransom, S.M. 2016, “Essential Radio Astronomy”, a complete one semester course, on-line at
  - [science.nrao.edu/opportunities/courses/era](http://science.nrao.edu/opportunities/courses/era)



plus many other useful pedagogical presentations, e.g. ALMA Primer



It is important to note that while the size of dog can be arbitrarily large, it's not any more of a good dog than the two original dogs.

# Visibility and Sky Brightness

$V(u,v)$ , the complex visibility function, is the 2D Fourier transform of  $T(l,m)$ , the sky brightness distribution (for an incoherent source, small field of view, far field, etc.) [see TMS for derivation]

mathematically

$$V(u, v) = \int \int T(l, m) e^{-i2\pi(ul+vm)} dl dm$$

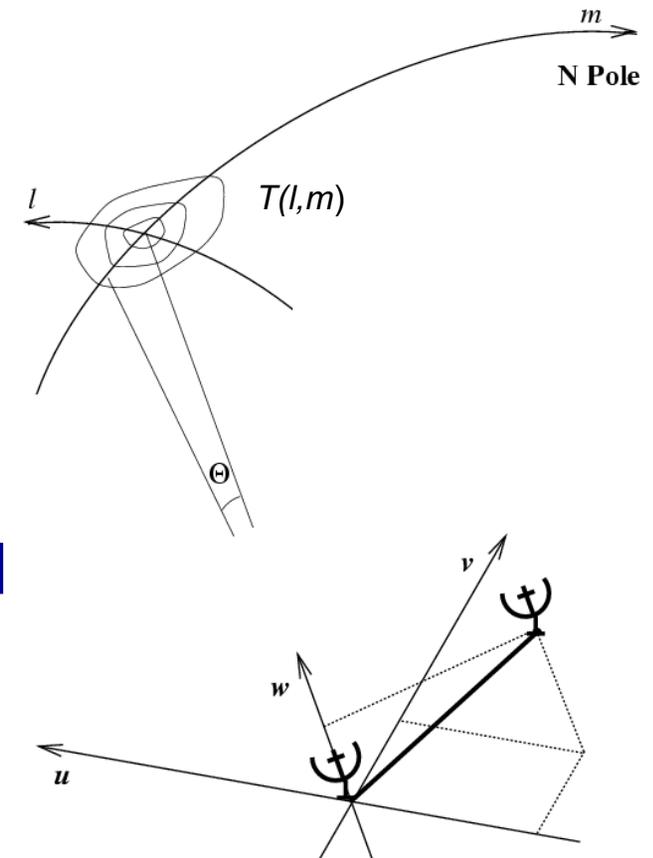
$$T(l, m) = \int \int V(u, v) e^{i2\pi(ul+vm)} du dv$$

$u,v$  are E-W, N-S spatial frequencies [wavelengths]

$l,m$  are E-W, N-S angles in the tangent plane [radians]

(recall  $e^{ix} = \cos x + i \sin x$ )

$$V(u, v) \xrightarrow{\mathcal{F}} T(l, m)$$

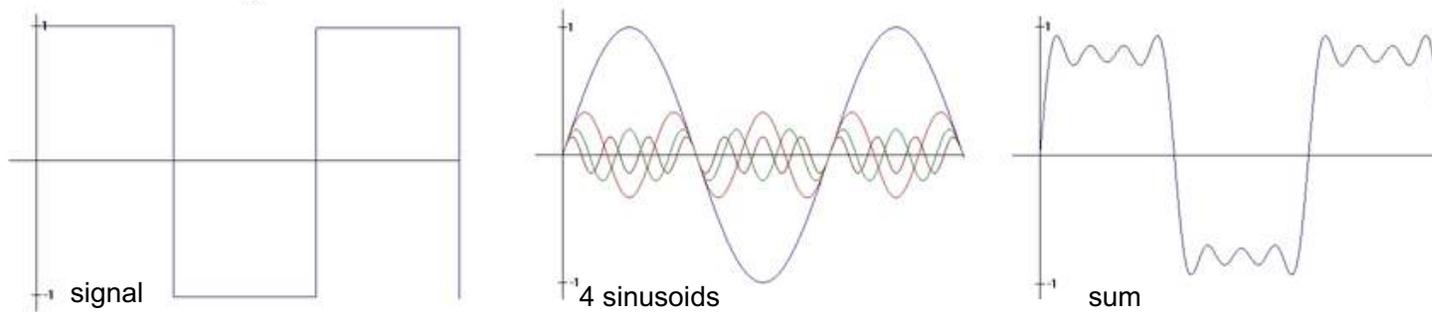


# The Fourier Transform

- Fourier theory: any well behaved signal (including images) can be expressed as the sum of sinusoids



**Jean Baptiste  
Joseph Fourier**  
1768-1830



$$x(t) = \frac{4}{\pi} \left( \sin(2\pi ft) + \frac{1}{3} \sin(6\pi ft) + \frac{1}{5} \sin(10\pi ft) + \dots \right)$$

- the Fourier transform is the mathematical tool that decomposes a signal into its sinusoidal components
- the Fourier transform contains *all* of the information of the original signal



# The Fourier Domain

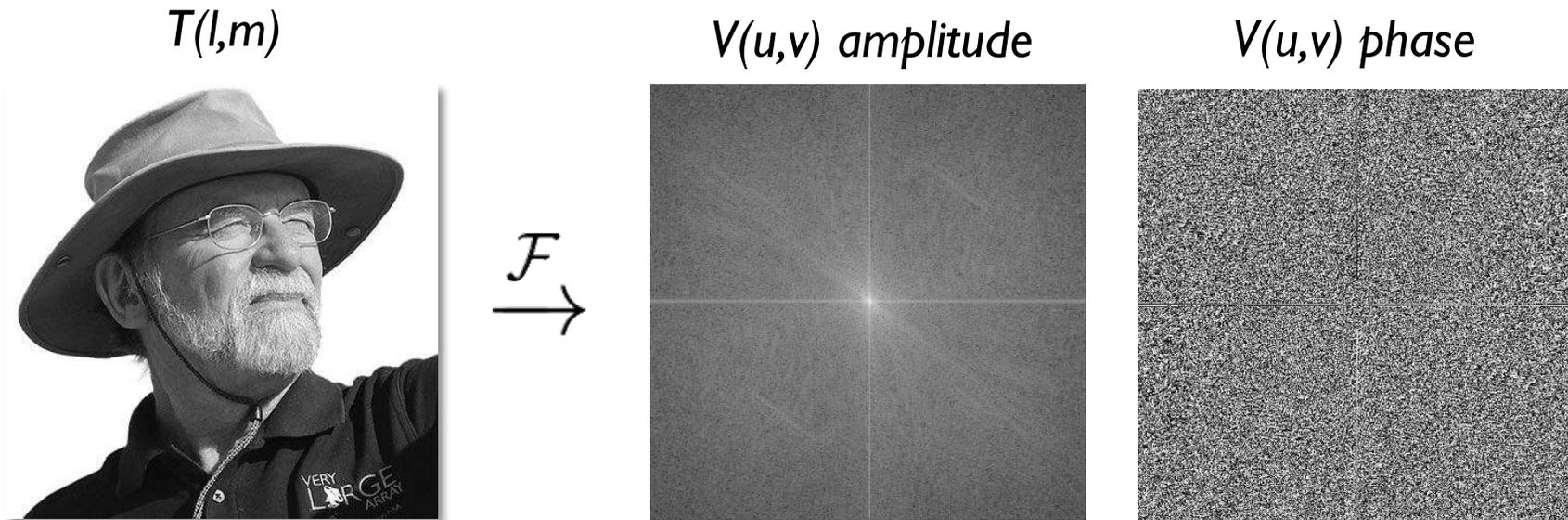
- acquire some comfort with the Fourier domain
  - in older texts, functions and their Fourier transforms occupy *upper* and *lower* domains, as if “functions circulated at ground level and their transforms in the underworld” (Bracewell 1965)



- some properties of the Fourier transform  $g(x) \xrightarrow{\mathcal{F}} G(s)$ 
  - adding  $g(x) + h(x) = G(s) + H(s)$
  - scaling  $g(\alpha x) = \alpha^{-1}G(s/\alpha)$
  - shifting  $g(x - x_0) = G(s)e^{i2\pi x_0 s}$
  - convolution/multiplication  $g(x) = h(x) * k(x) \quad G(s) = H(s)K(s)$
  - Nyquist-Shannon sampling theorem  $g(x) \subset \Theta$  completely determined if  $G(s)$  sampled at  $\leq 1/\Theta$

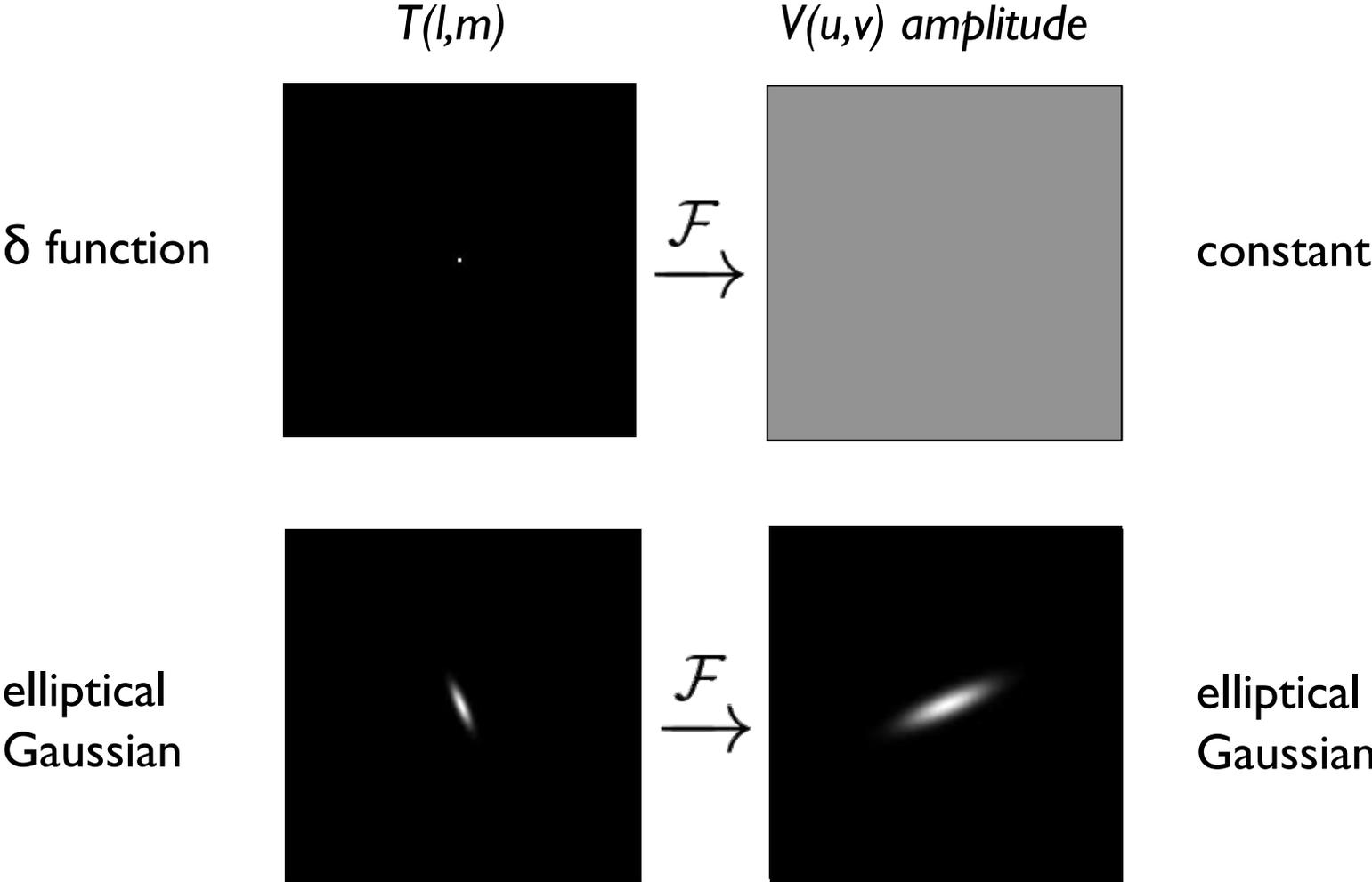
# Visibilities

- each  $V(u,v)$  is a complex quantity
  - expressed as (*real, imaginary*) or (*amplitude, phase*)



- each  $V(u,v)$  contains information on  $T(l,m)$  everywhere, not just at a given  $(l,m)$  coordinate or within a particular subregion

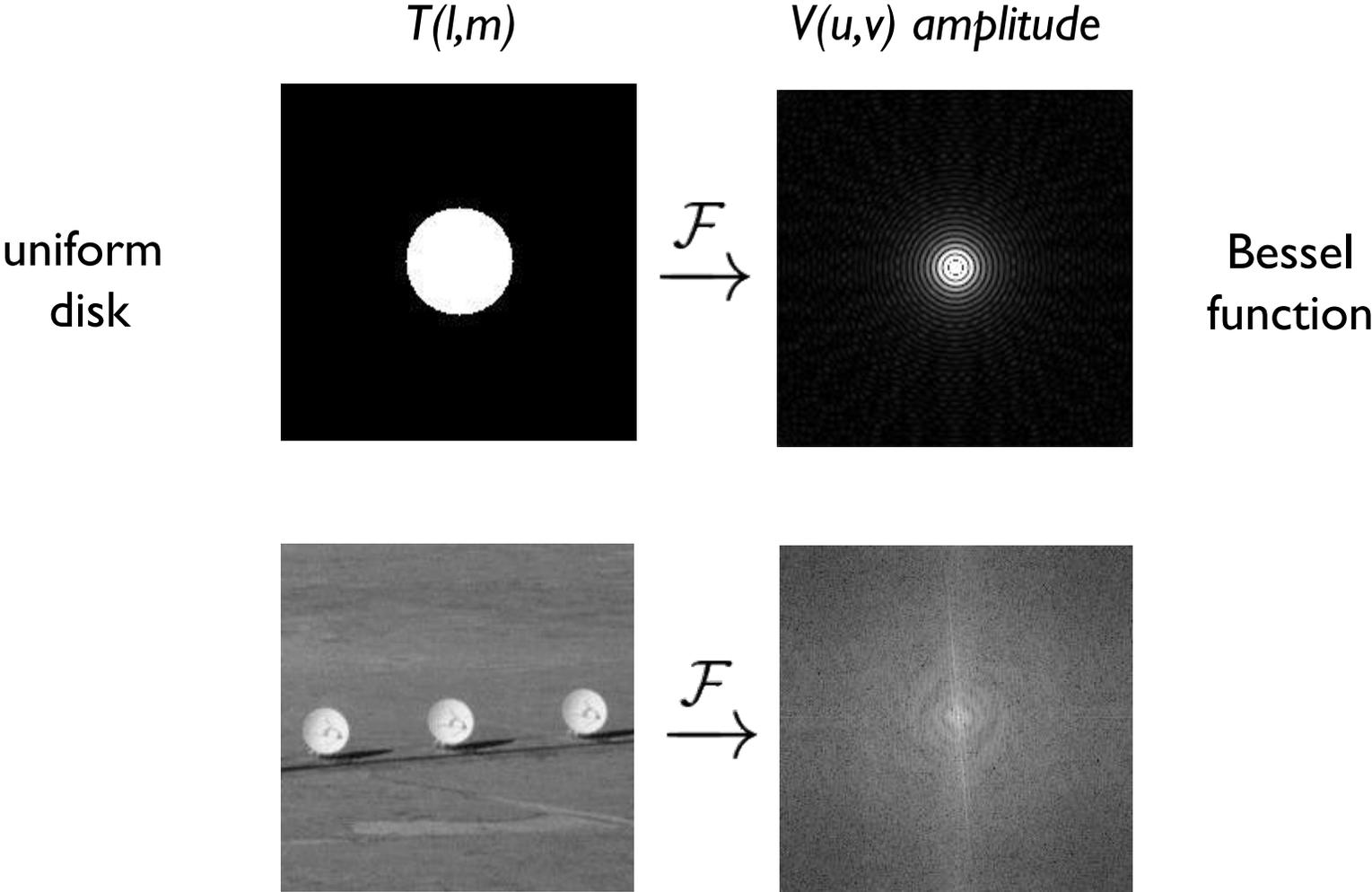
# Example 2D Fourier Transforms



*narrow features transform into wide features (and vice-versa)*



# Example 2D Fourier Transforms

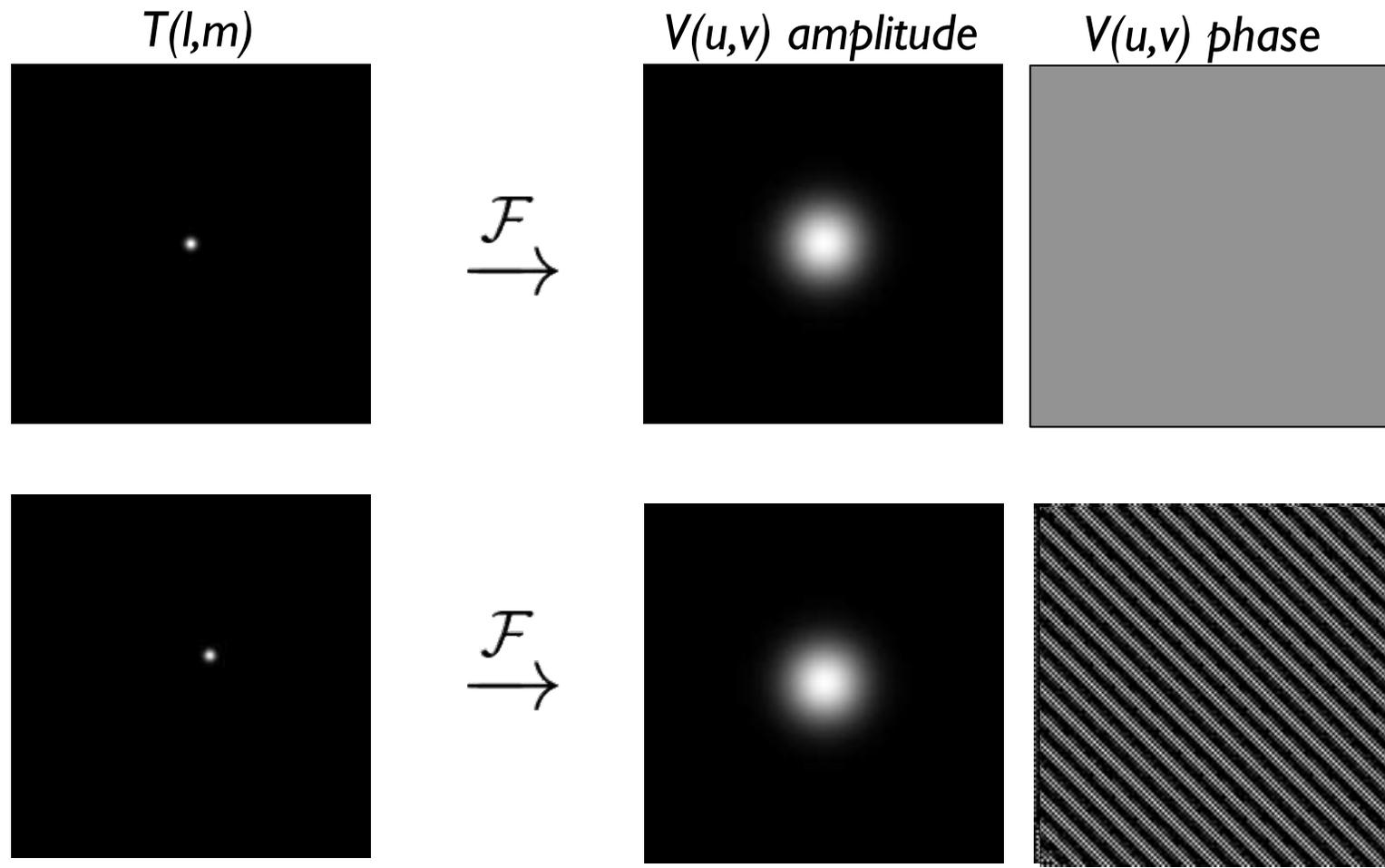


*sharp edges result in many high spatial frequencies*



# Amplitude and Phase

- amplitude tells “how much” of a certain spatial frequency
- phase tells “where” this spatial frequency component is located



# The Visibility Concept

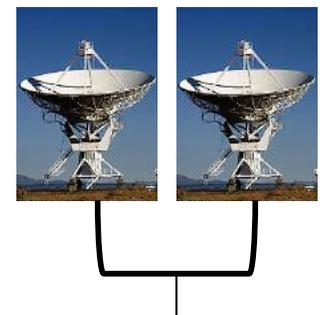
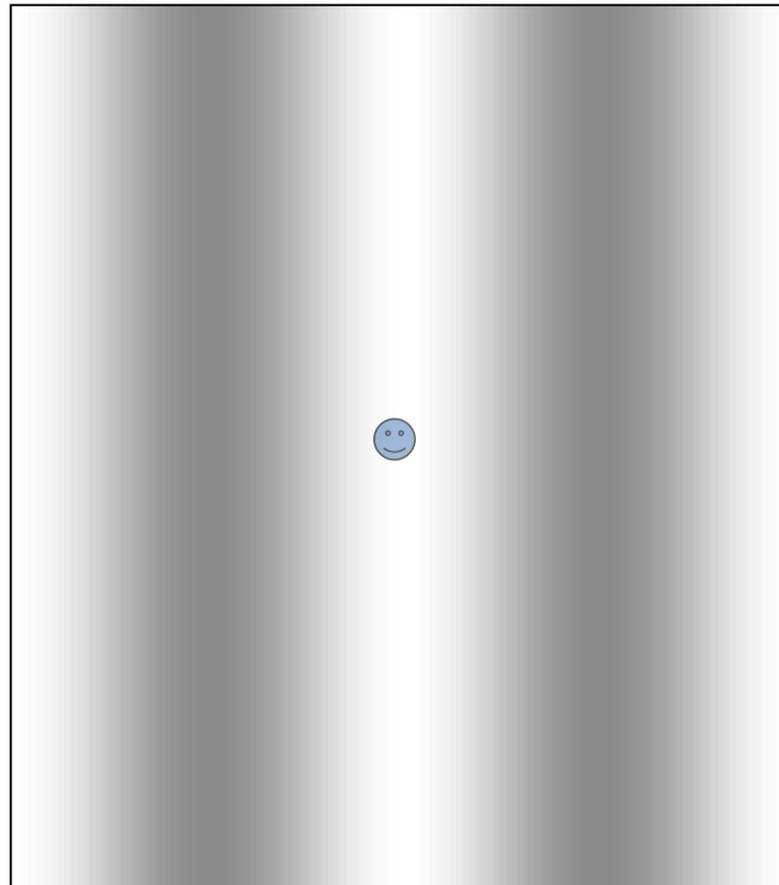
$$V(u, v) = \int \int T(l, m) e^{-i2\pi(ul+vm)} dl dm$$

- visibility as a function of baseline coordinates  $(u, v)$  is the Fourier transform of the sky brightness distribution as a function of the sky coordinates  $(l, m)$
- since  $T(l, m)$  is real,  $V(u, v)$  is Hermitian and  $V(-u, -v) = V^*(u, v)$  (get two visibilities for each  $(u, v)$  measurement)
- $V(u=0, v=0)$  is the integral of  $T(l, m) dl dm =$  total flux density



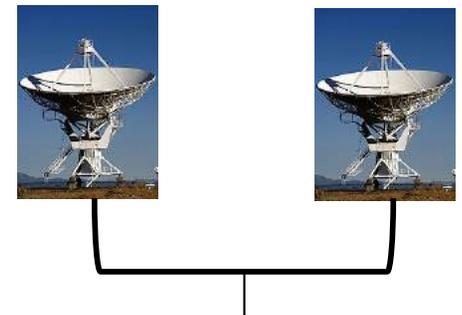
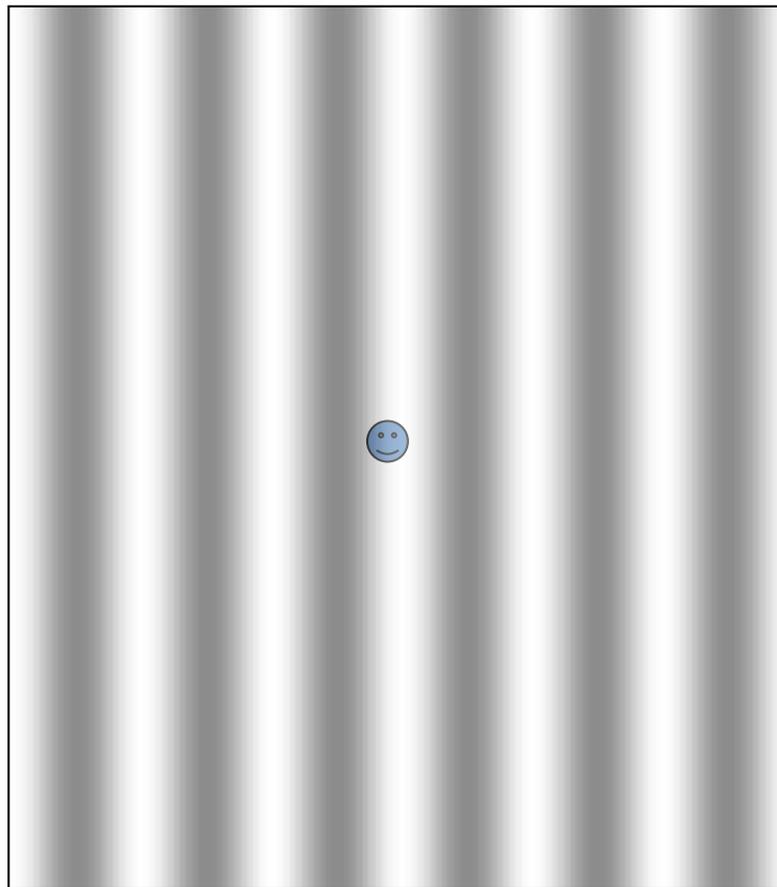
# Small Source, Short Baseline

$$V(u, v) = \iint T(l, m) e^{-i2\pi(ul+vm)} dl dm$$



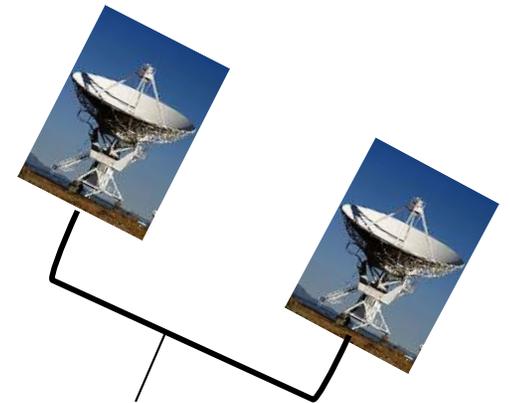
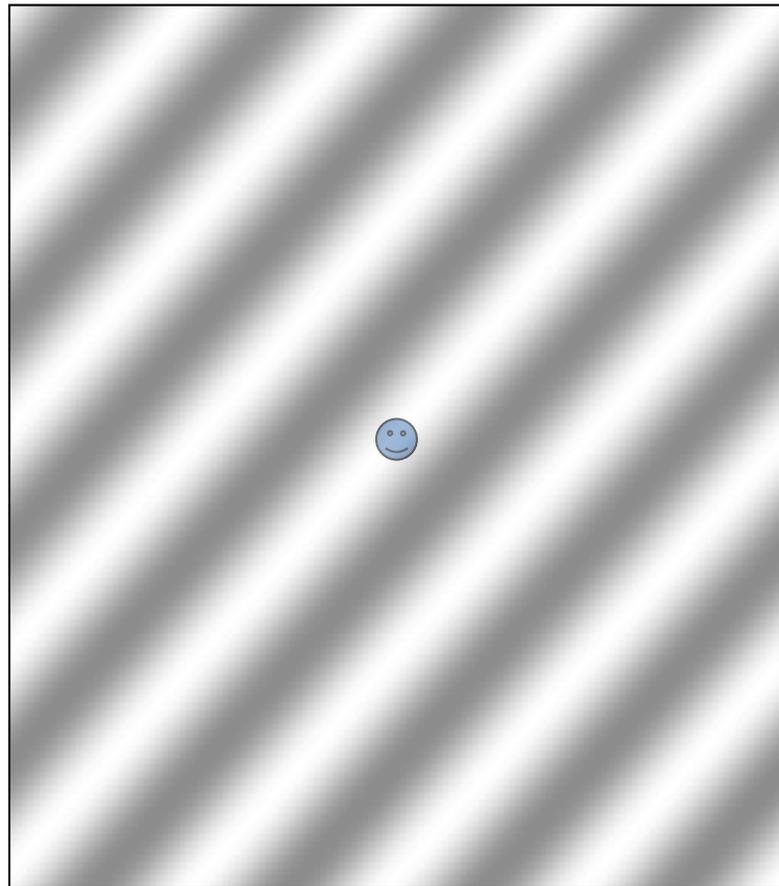
# Small Source, Long Baseline

$$V(u, v) = \iint T(l, m) e^{-i2\pi(ul+vm)} dl dm$$



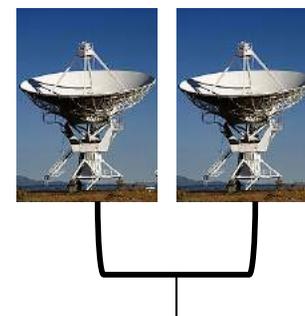
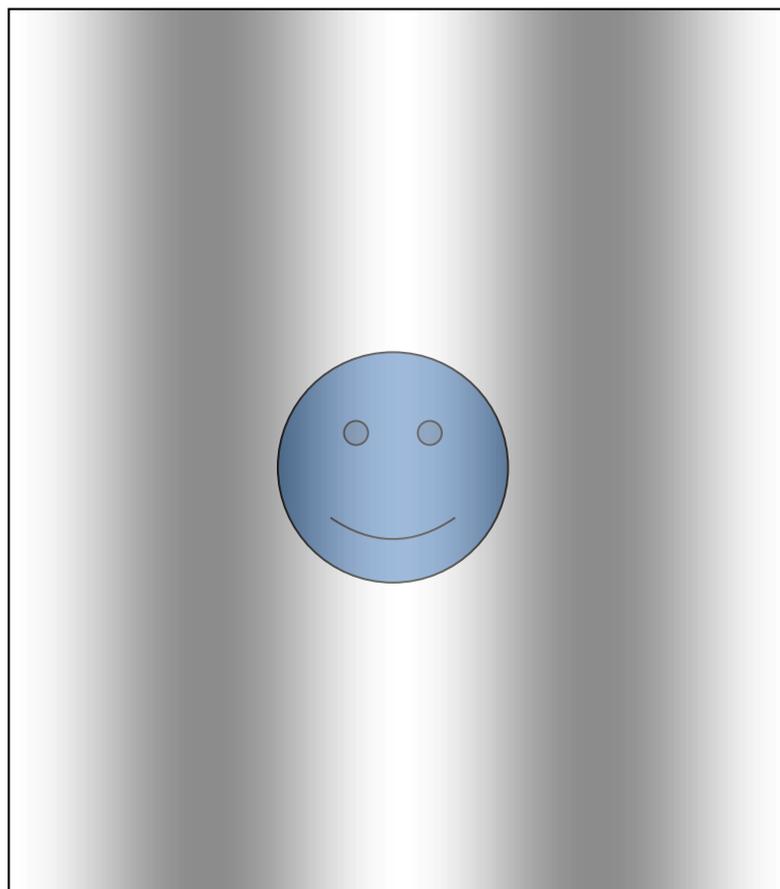
# Small Source, Long Baseline

$$V(u, v) = \iint T(l, m) e^{-i2\pi(ul+vm)} dl dm$$



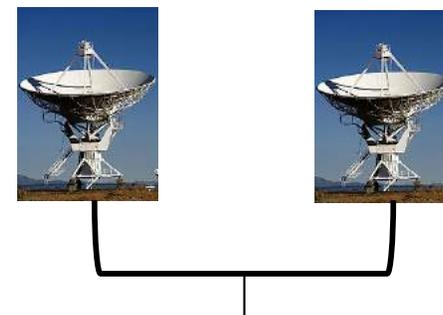
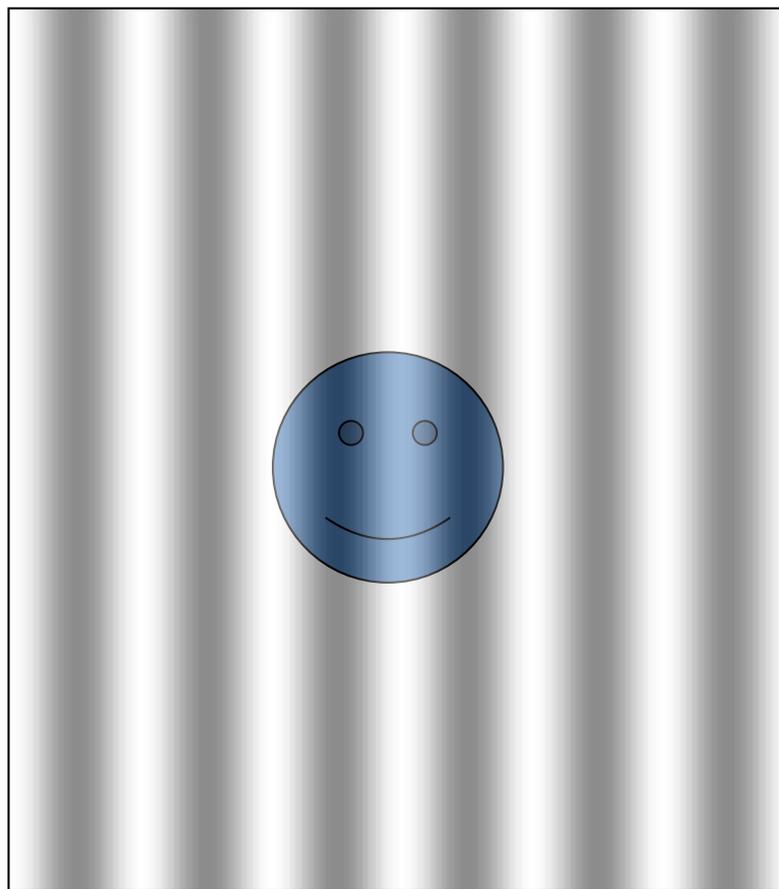
# Extended Source, Short Baseline

$$V(u, v) = \iint T(l, m) e^{-i2\pi(ul+vm)} dl dm$$



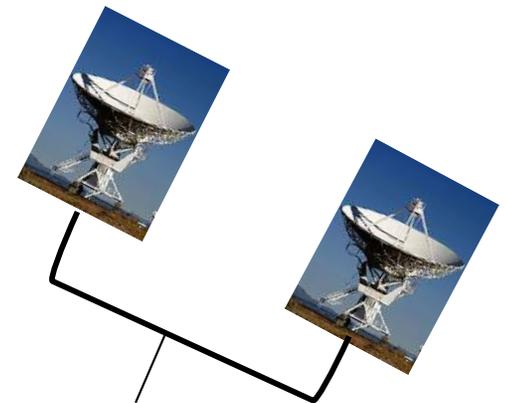
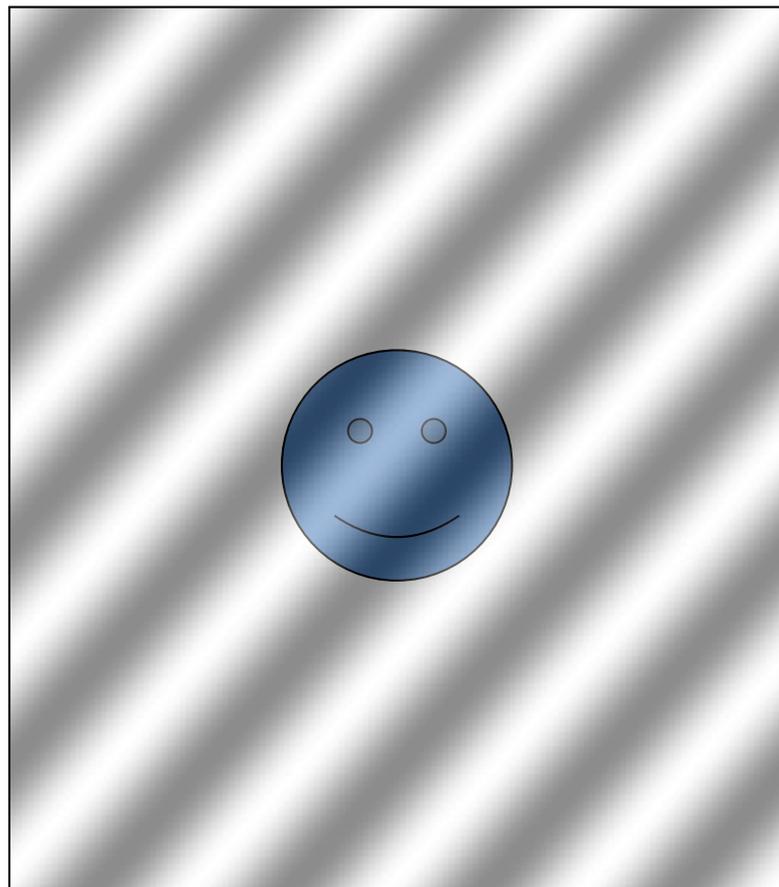
# Extended Source, Long Baseline

$$V(u, v) = \iint T(l, m) e^{-i2\pi(ul+vm)} dl dm$$



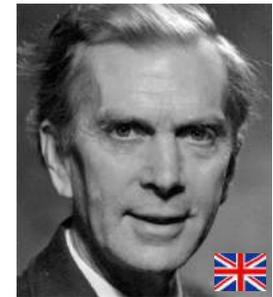
# Extended Source, Long Baseline

$$V(u, v) = \iint T(l, m) e^{-i2\pi(ul+vm)} dl dm$$



# Aperture Synthesis

- basic idea: sample  $V(u,v)$  at enough  $(u,v)$  points using distributed small aperture antennas to synthesize a large aperture antenna of size  $(u_{max}, v_{max})$
- use more antennas for more samples
  - one pair of antennas = two  $(u,v)$  samples at a time
  - $N$  antennas =  $N(N-1)$  samples at a time
  - reconfigure physical layout of  $N$  antennas for more
- use Earth rotation for more samples
  - fill in  $(u,v)$  plane over time
- use more wavelengths for more samples
  - need to determine source structure at some wavelength and the change with wavelength, e.g. Taylor expansion
- “multi-frequency synthesis” for continuum imaging [Urvashi Rao, Monday]



**Sir Martin Ryle**  
1918-1984



**1974 Nobel  
Prize in Physics**

# A few Aperture Synthesis Telescopes for Observations at Millimeter Wavelengths

ALMA

50x12m + 12x7m + 4x12m



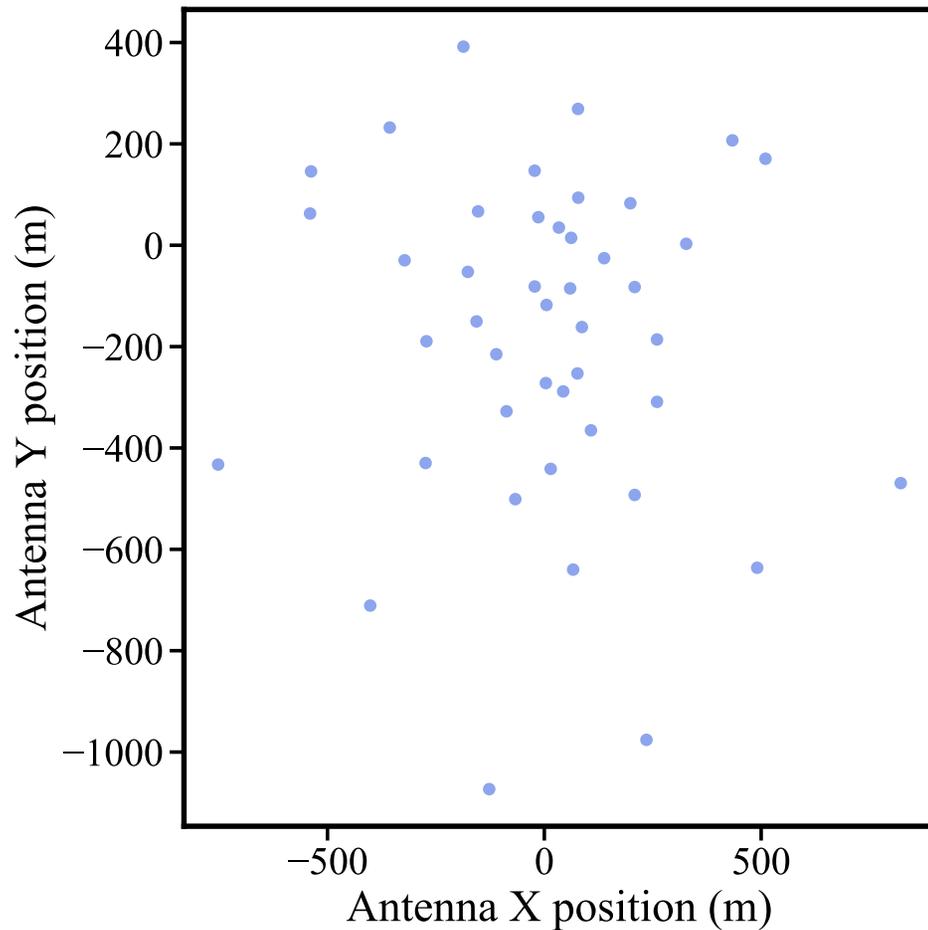
SMA  
8x6m



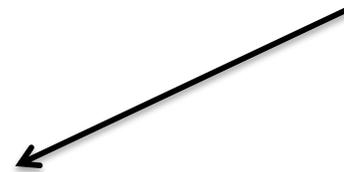
IRAM NOEMA  
10(→12) x 15m



# Example of (u,v) Plane Sampling

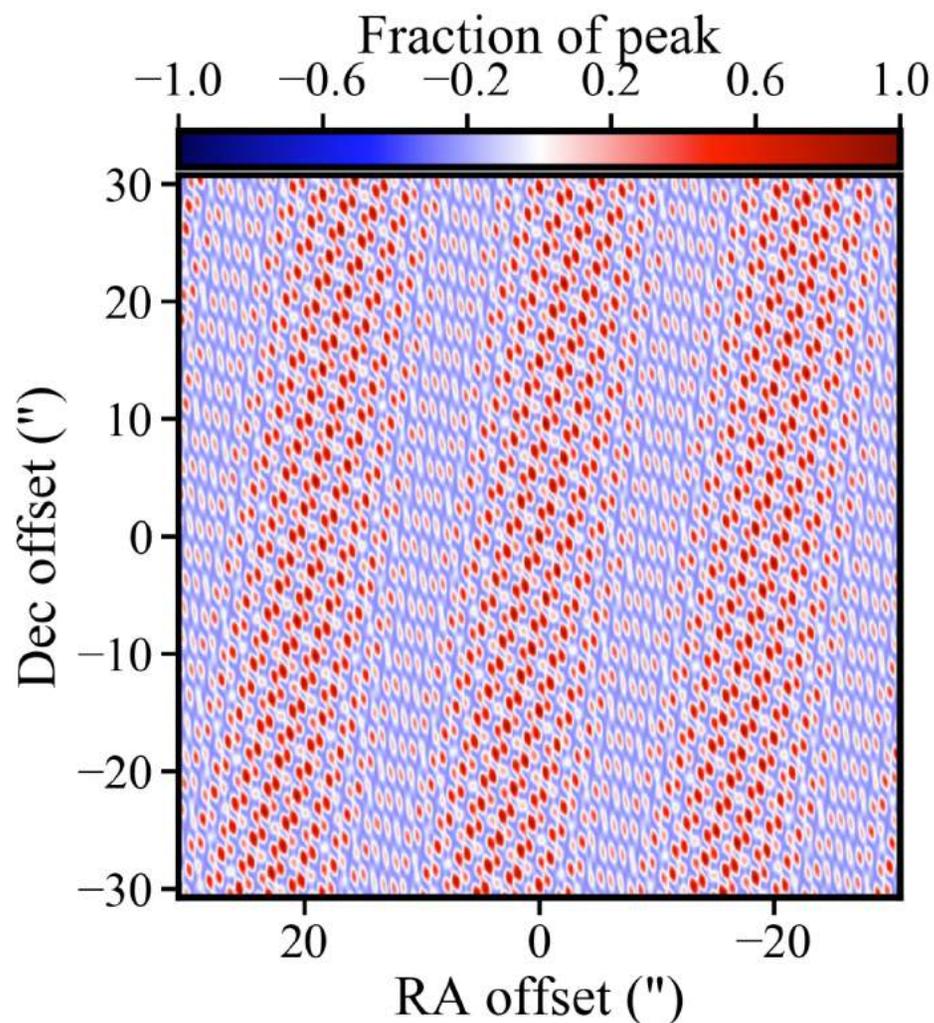
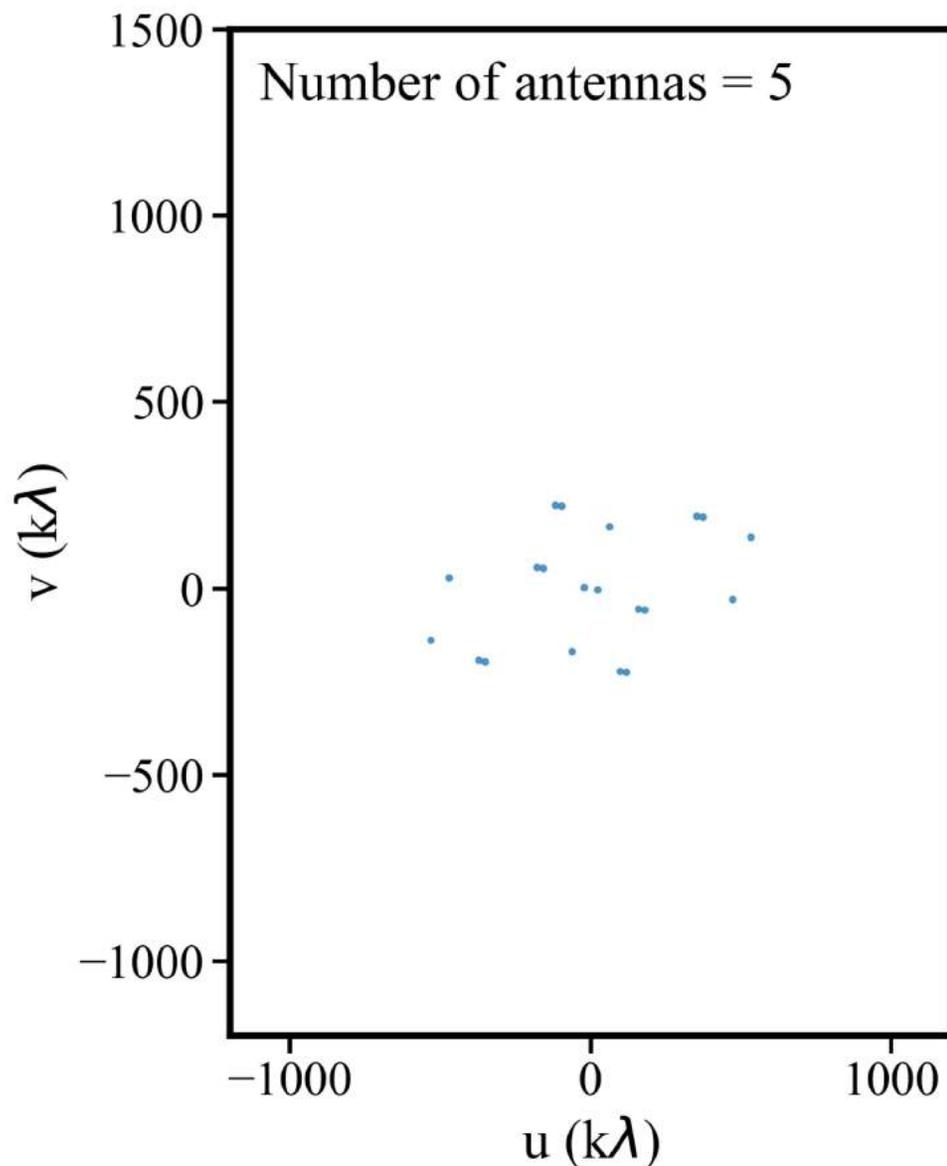


ALMA 12m antenna locations  
on August 8, 2015



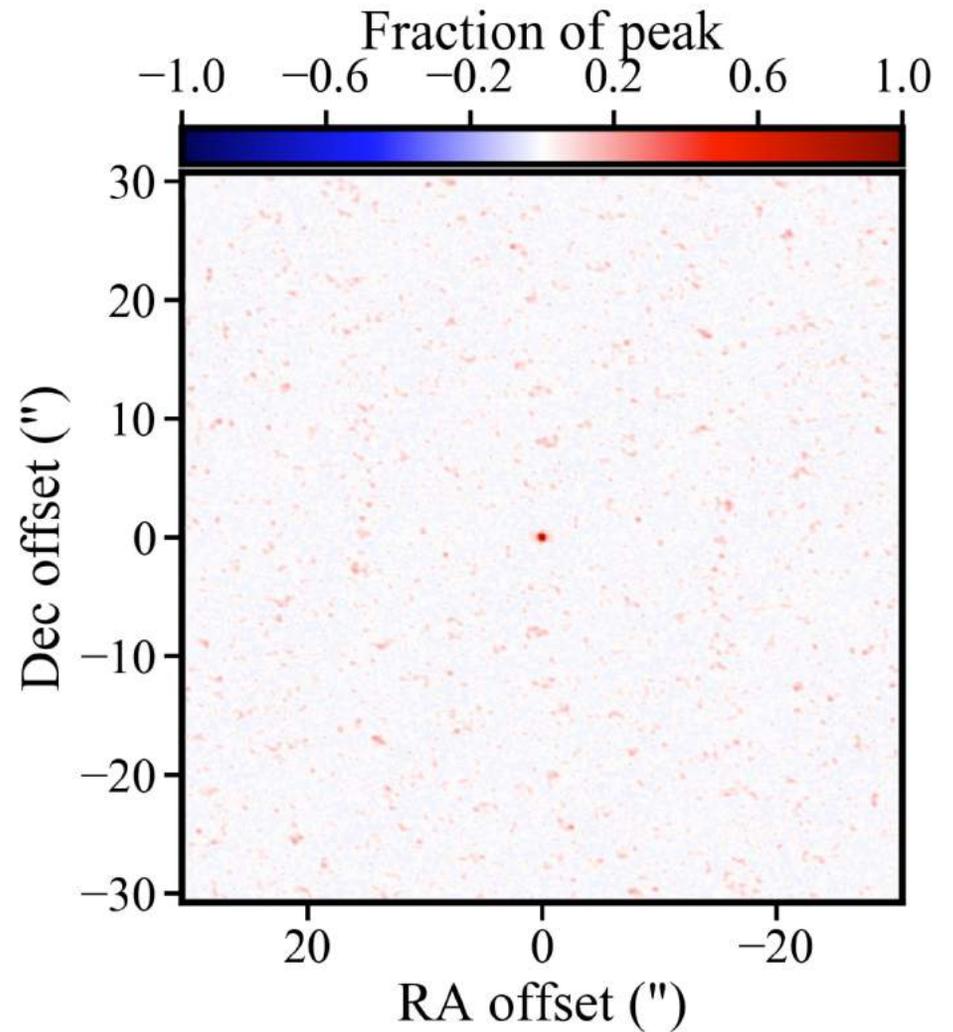
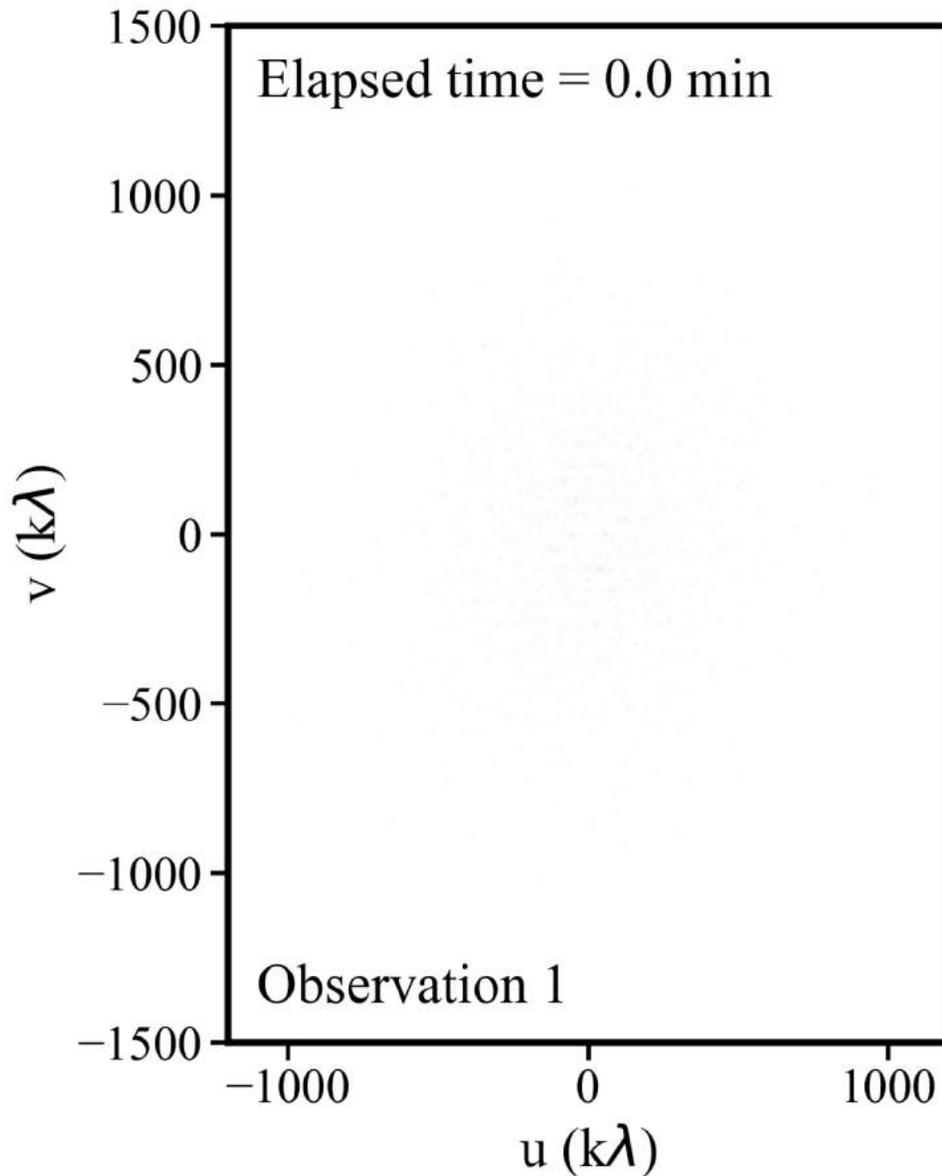
[Array Design: Craig Walker, Monday]

# (u,v) Plane Sampling: more Antennas

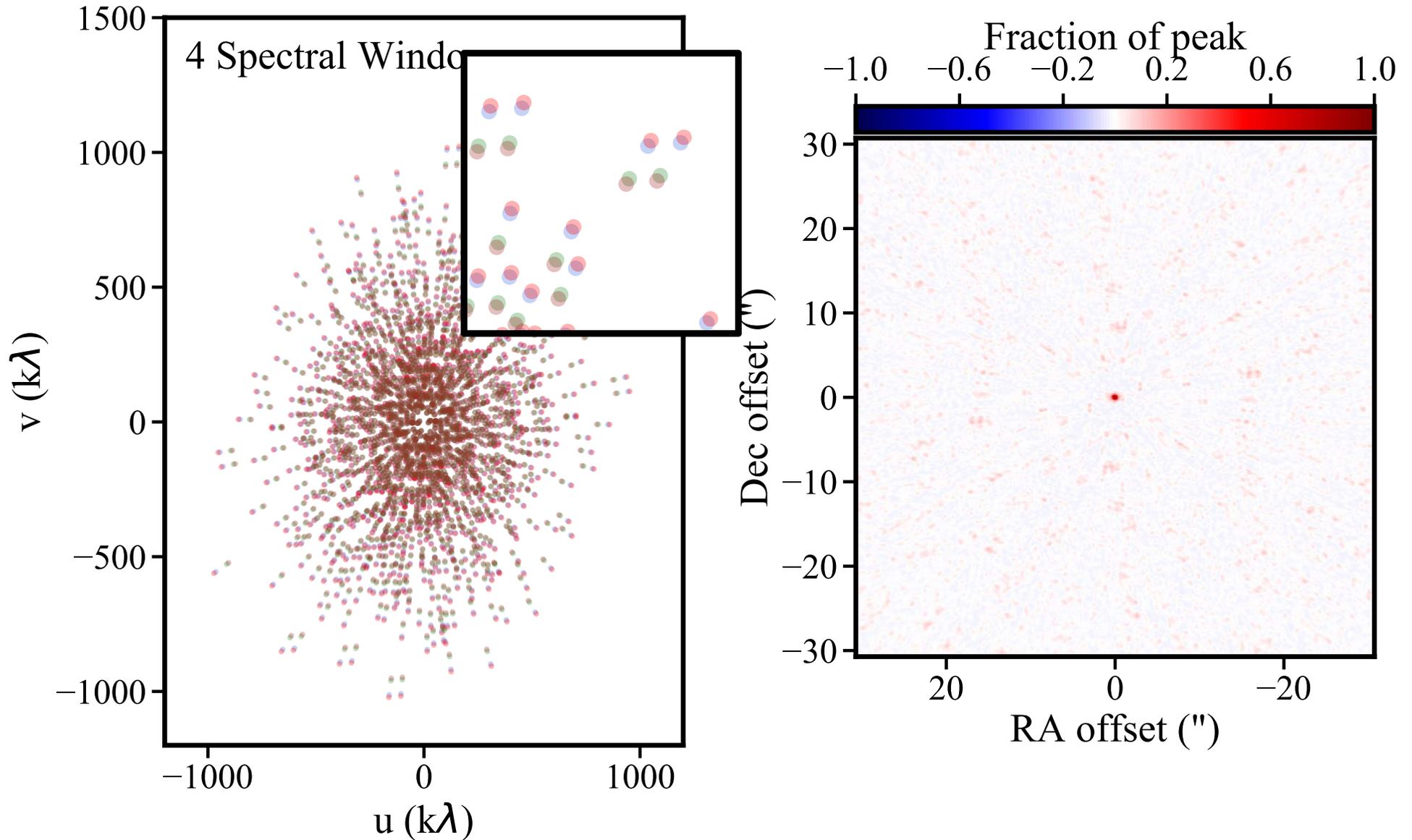


source dec -51 deg  
 $\nu=224.6$  GHz ( $\lambda=1.3$ mm)

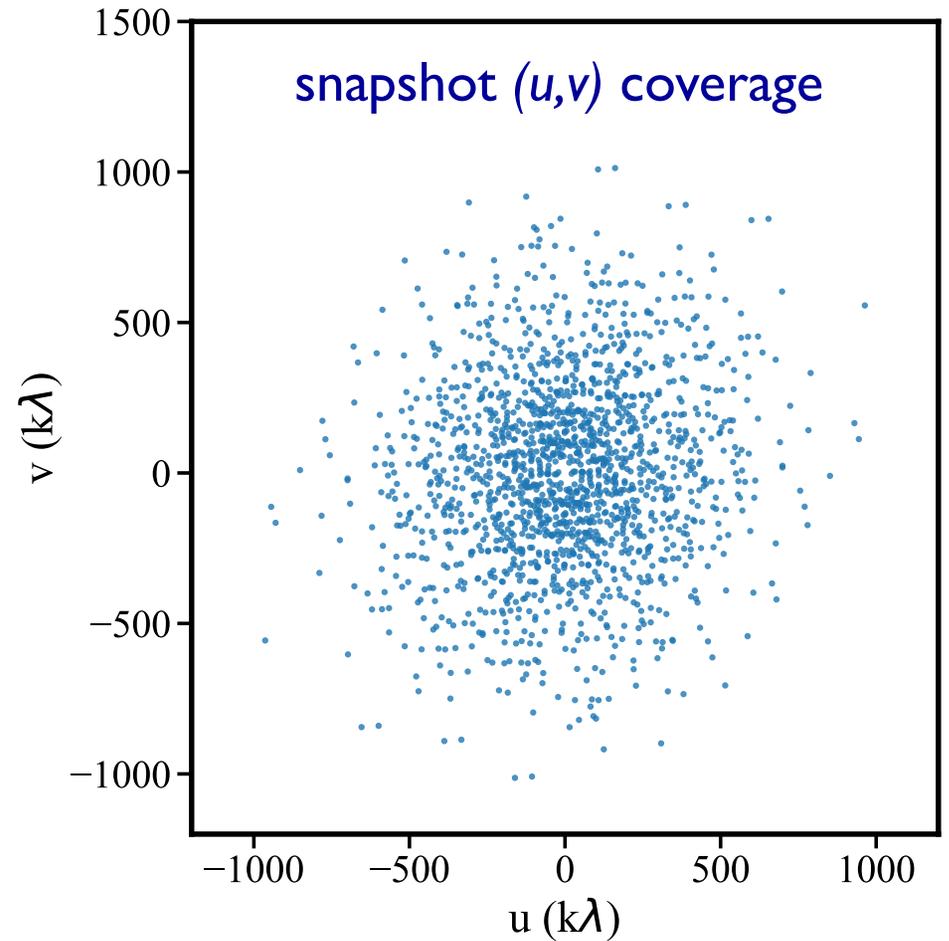
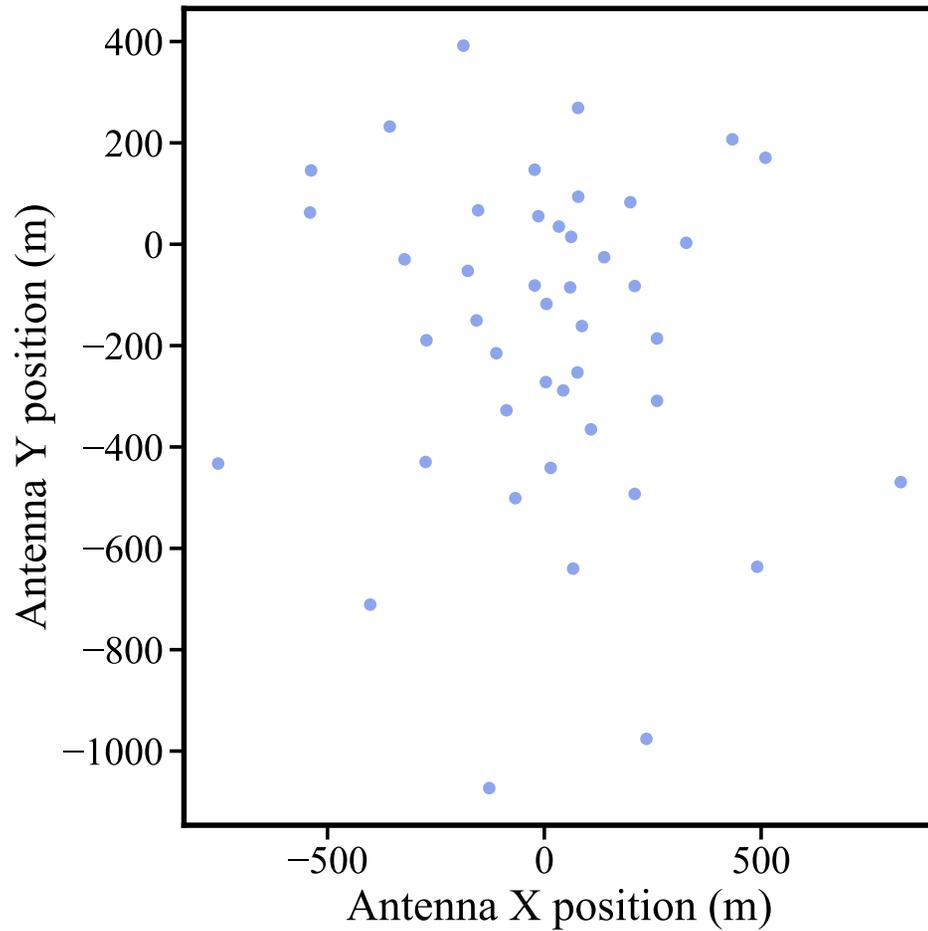
# (u,v) Plane Sampling: Earth rotation



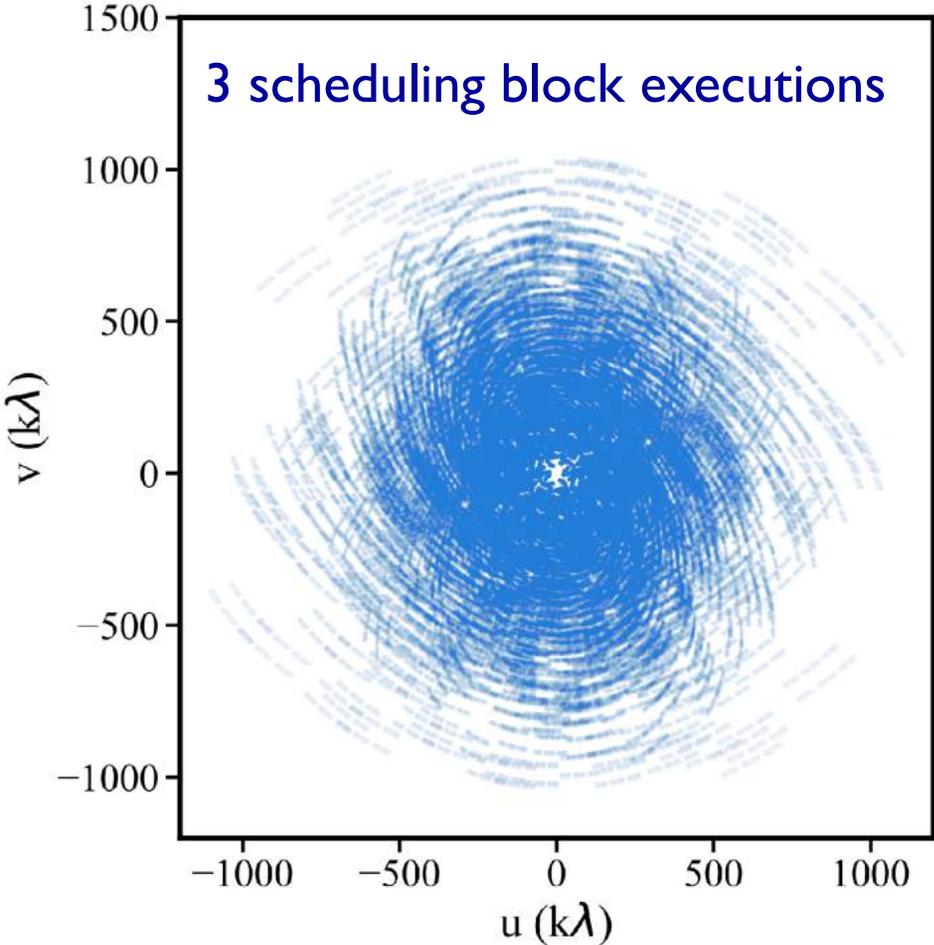
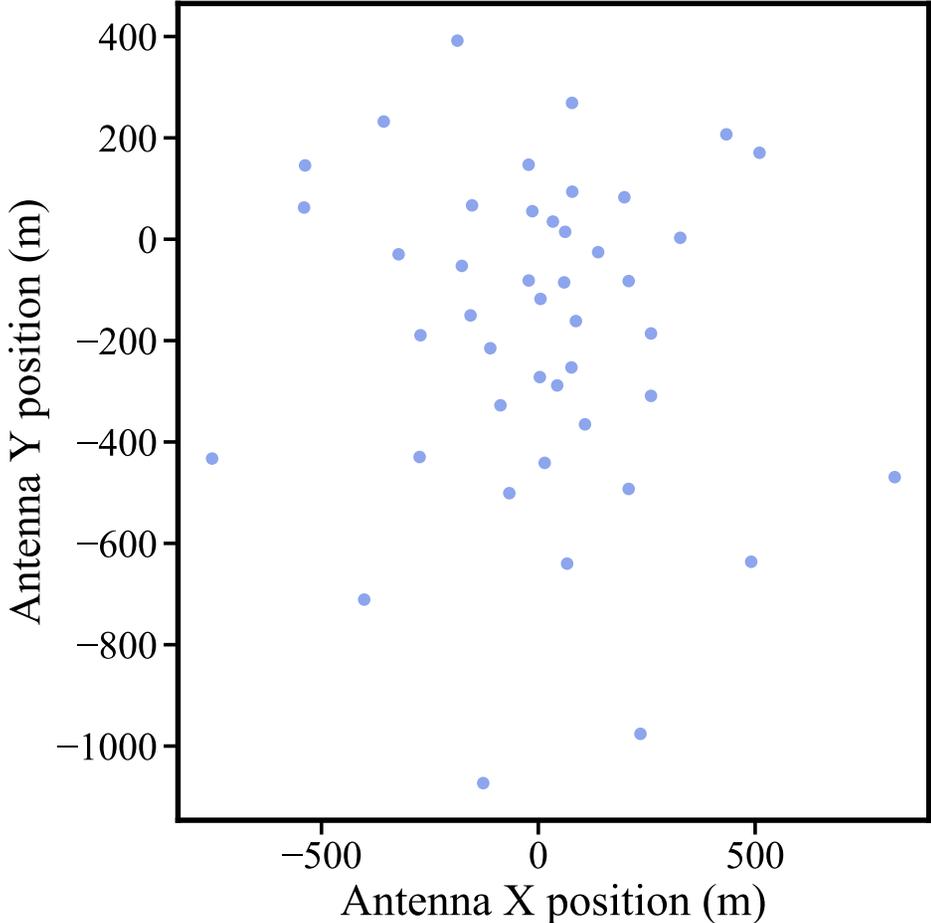
# (u,v) Plane Sampling: more wavelengths



# Example of (u,v) Plane Sampling

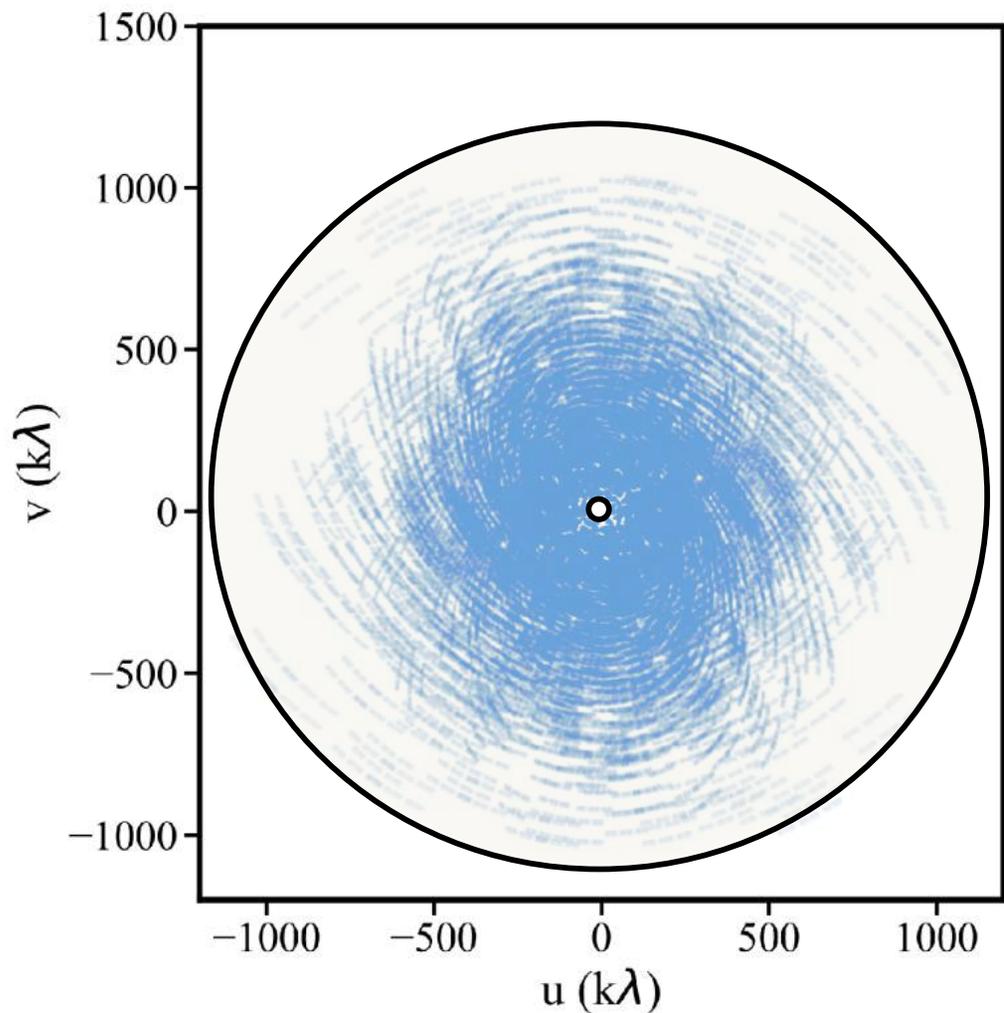


# Example of (u,v) Plane Sampling



# Implications of (u,v) Plane Sampling

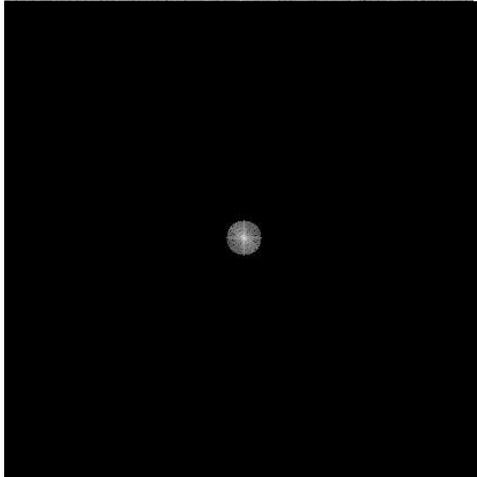
$V(u,v)$  samples are limited by # antennas and Earth-sky geometry



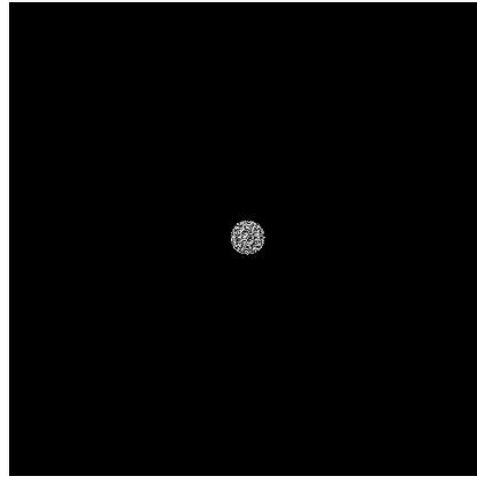
- *outer boundary*
  - no info on smaller scales
  - resolution limit
- *inner hole*
  - no info on larger scales
  - extended structures invisible
- *irregular sampling in between*
  - sampling theorem violated
  - information missing

# Inner and Outer (u,v) Boundaries

$V(u,v)$  amplitude



$V(u,v)$  phase

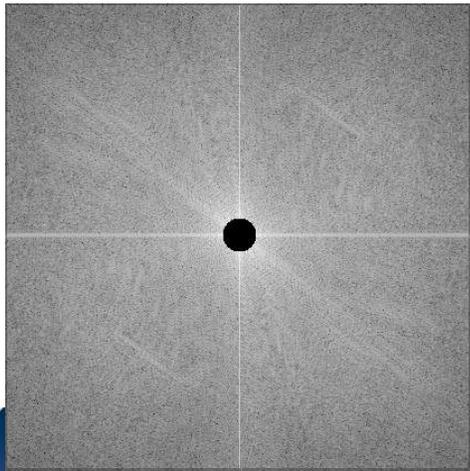


$\mathcal{F}$   
→

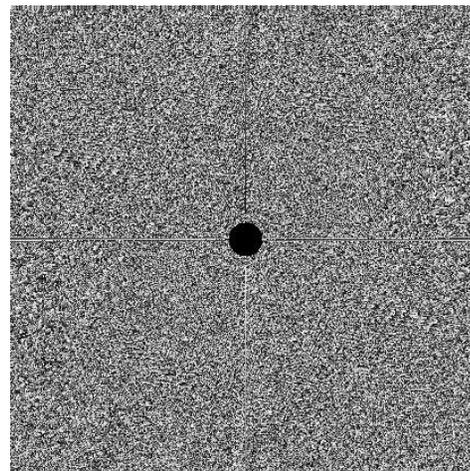
$T(l,m)$



$V(u,v)$  amplitude



$V(u,v)$  phase

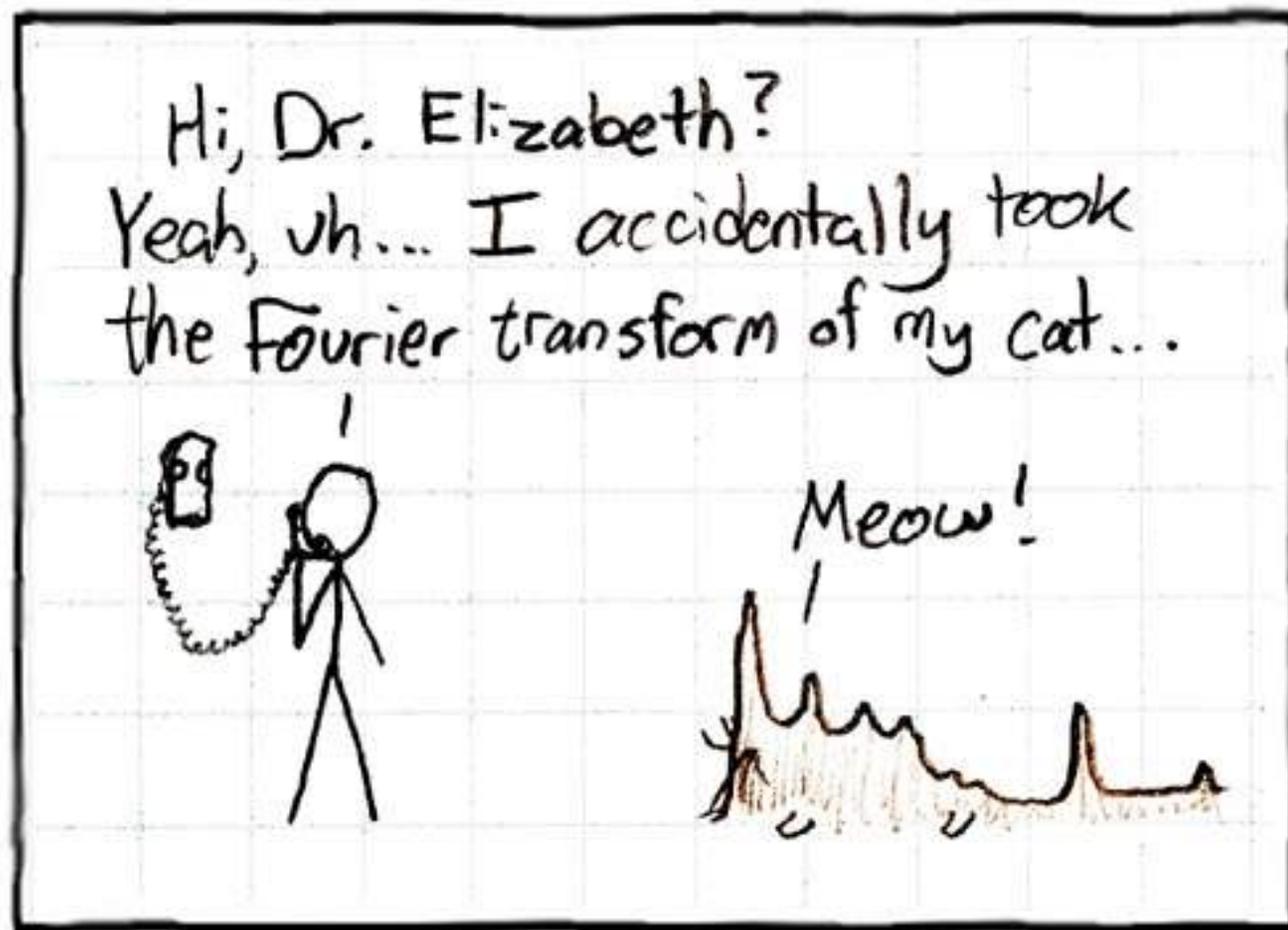


$\mathcal{F}$   
→

$T(l,m)$



[xkcd.com/26/](http://xkcd.com/26/)

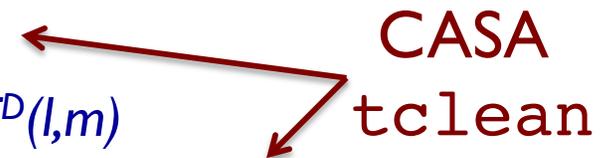


That cat has some serious periodic components.

# Calibrated Visibilities... What's Next?

- analyze directly  $V(u,v)$  samples by model fitting
  - good for simple structures, e.g. point sources, symmetric disks
  - for a purely statistical description of sky brightness (e.g. fluctuations)
  - visibilities have well defined noise properties [Greg Taylor, Tuesday]
- recover an image from the observed incomplete and noisy samples of its Fourier transform for analysis
  - Fourier transform  $V(u,v)$  to get  $T^D(l,m)$ ,  
but difficult to do science with the dirty image  $T^D(l,m)$
  - deconvolve  $s(l,m)$  from  $T^D(l,m)$  to determine a model of  $T(l,m)$
  - work with the model of  $T(l,m)$

CASA  
tclean



# Formal Description of Imaging

$$V(u, v) \xrightarrow{\mathcal{F}} T(l, m)$$

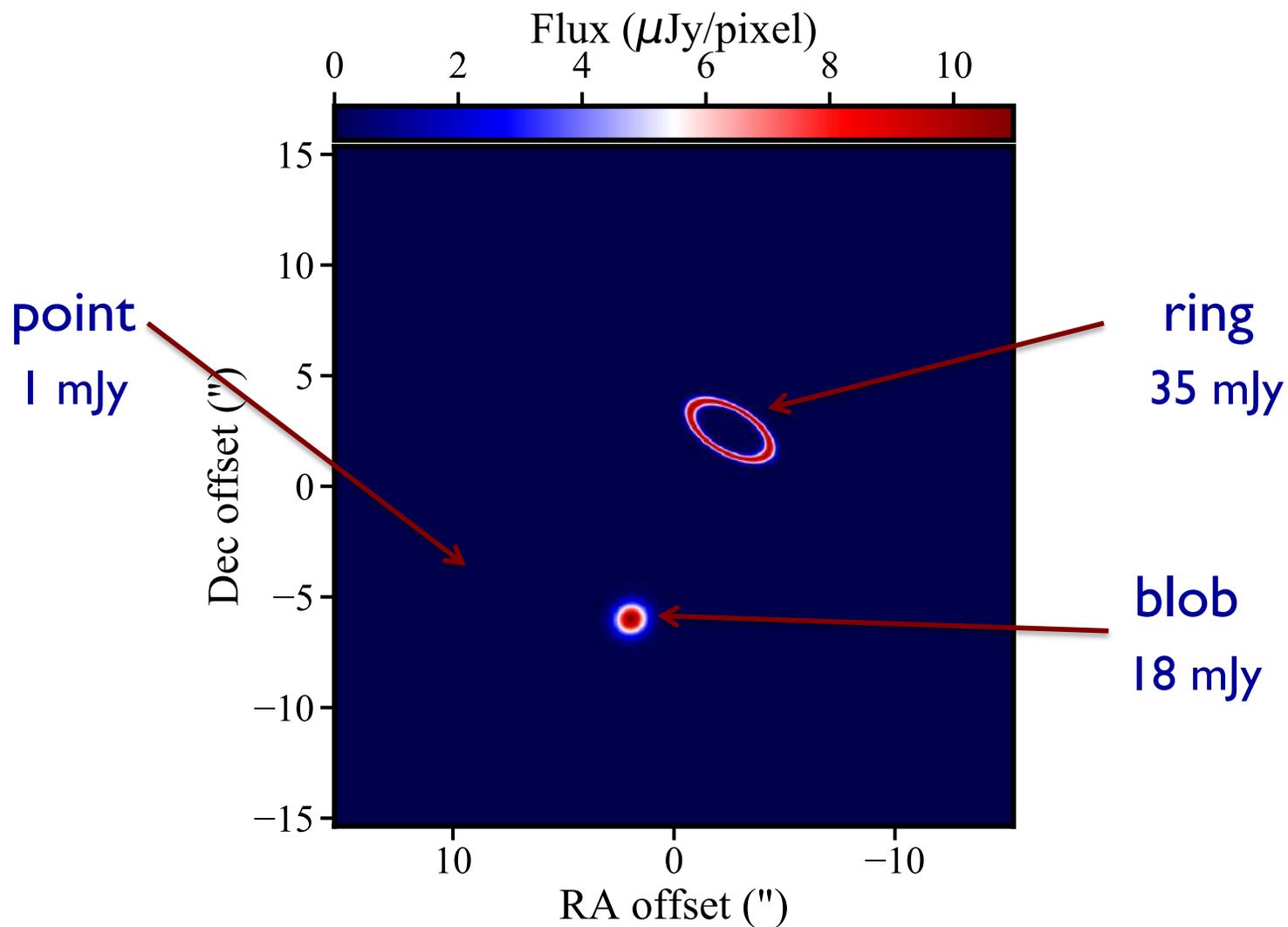
- sample Fourier domain at discrete points  $S(u, v) = \sum_{k=1}^M \delta(u - u_k, v - v_k)$
- Fourier transform the sampled visibilities  $V(u, v)S(u, v) \xrightarrow{\mathcal{F}} T^D(l, m)$
- apply the convolution theorem  $T(l, m) * s(l, m) = T^D(l, m)$   
where the Fourier transform of the  
sampling pattern  $s(l, m) \xrightarrow{\mathcal{F}} S(u, v)$  is the  
point spread function

*the Fourier transform of the sampled visibilities yields the true sky brightness convolved with the point spread function*

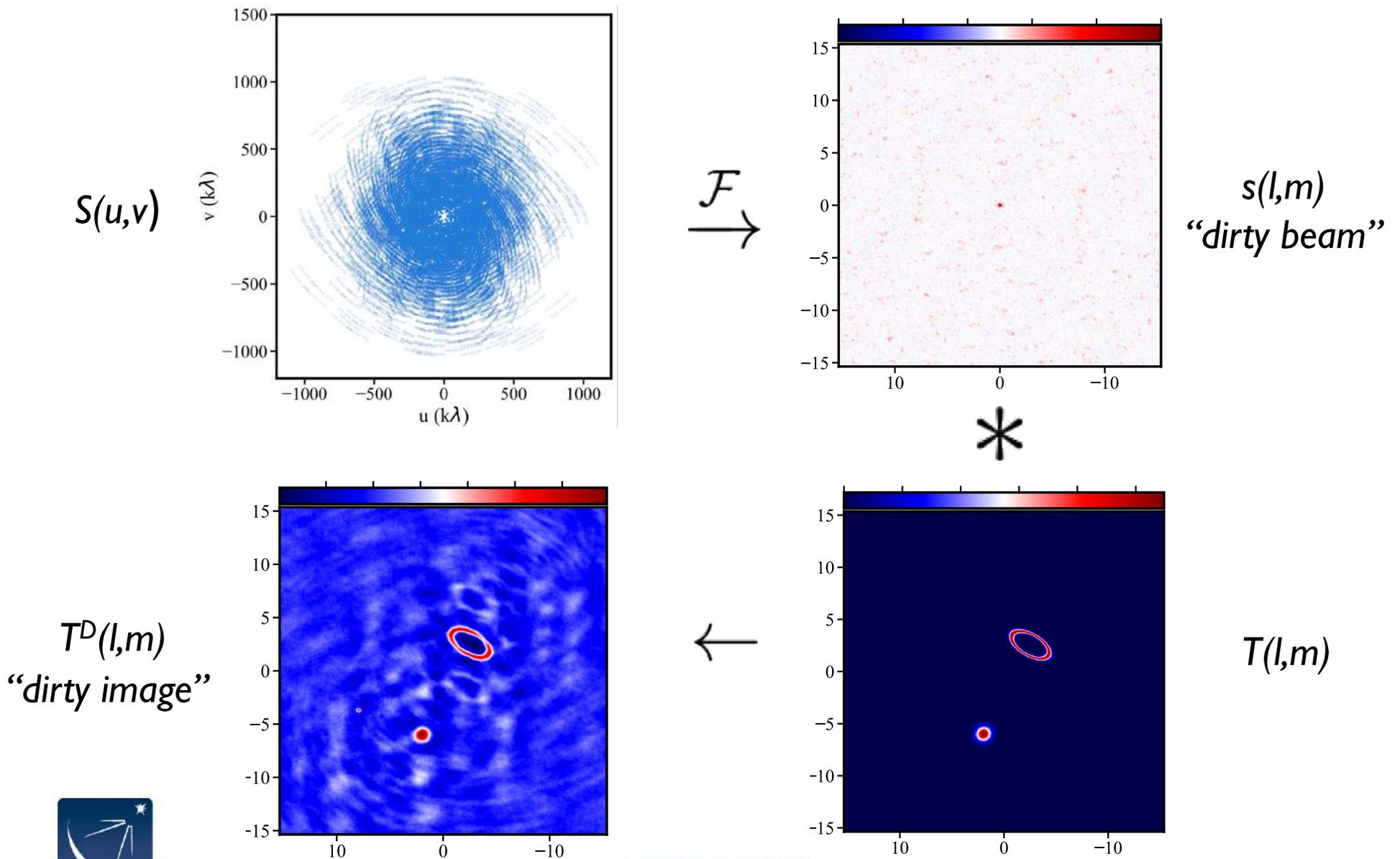
(radio jargon: “dirty image” is true image convolved with “dirty beam”)



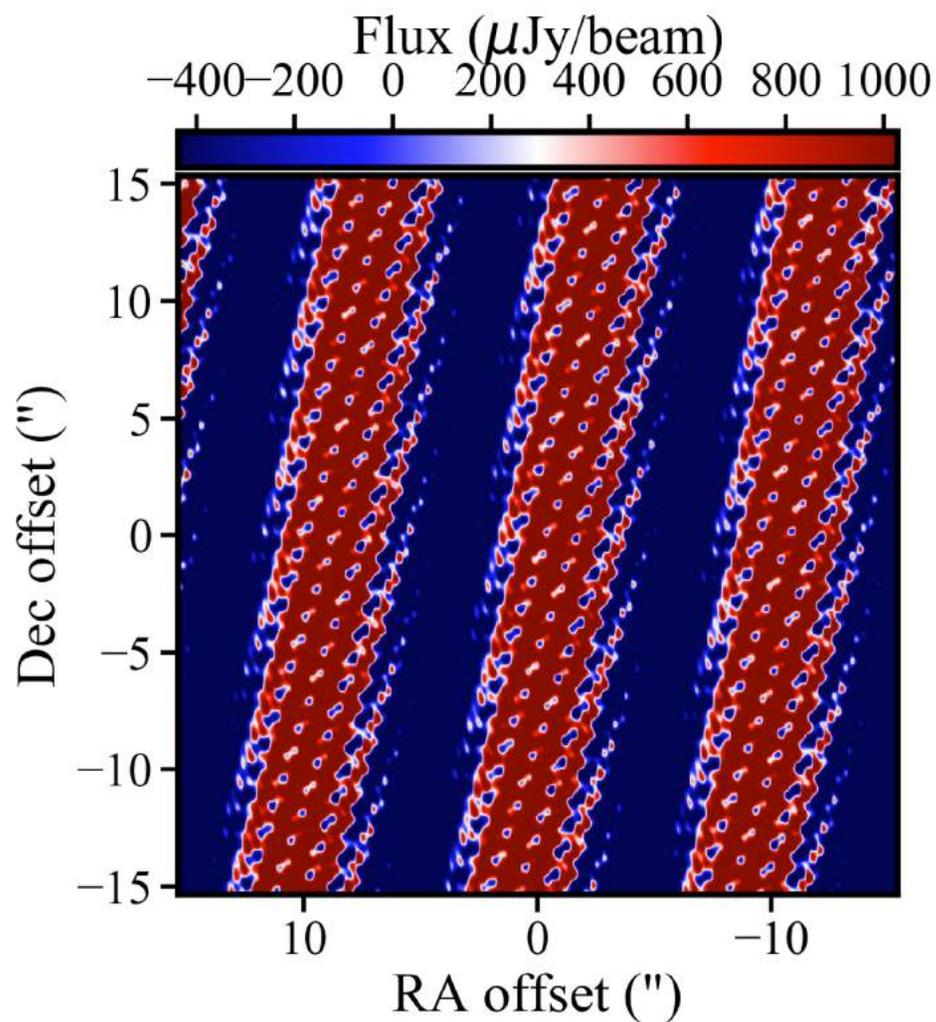
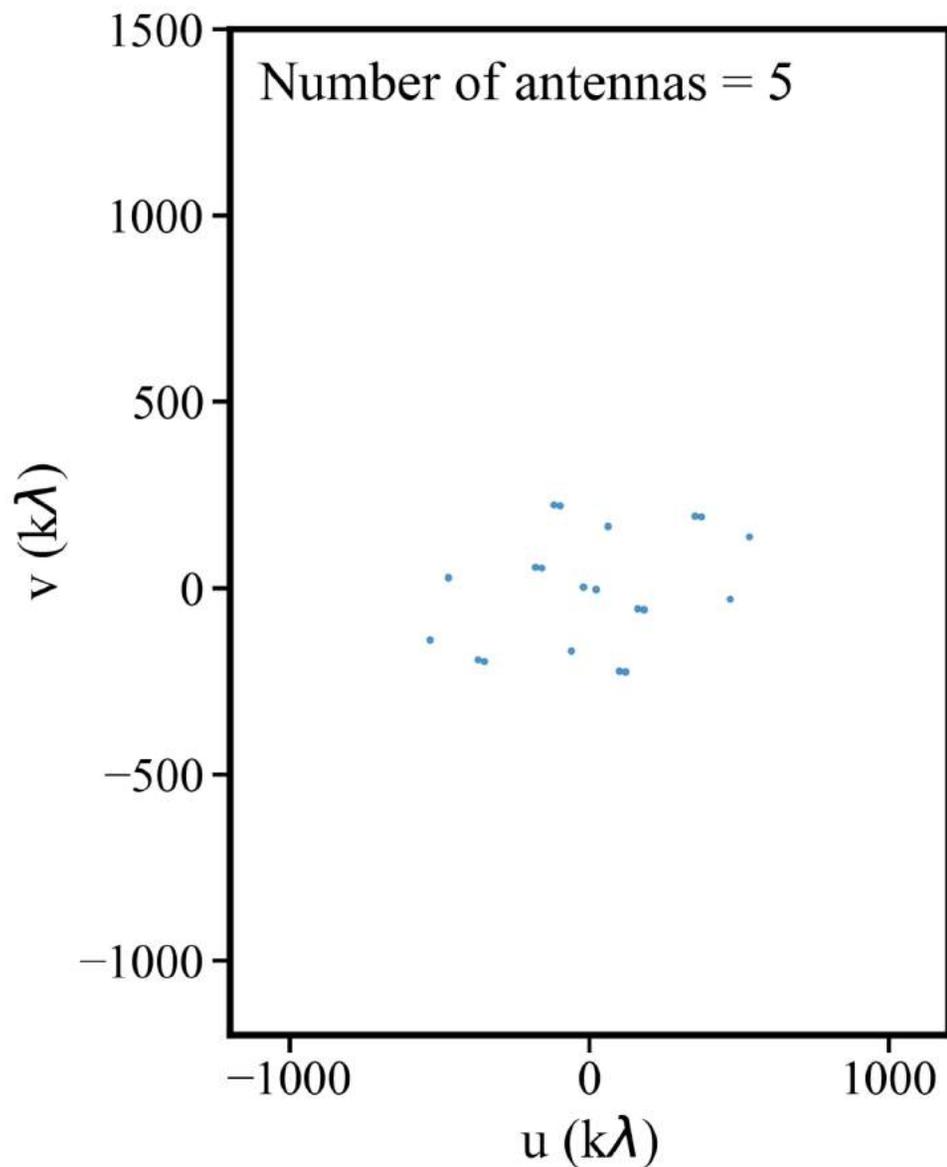
# A model $T(l,m)$ sky brightness distribution



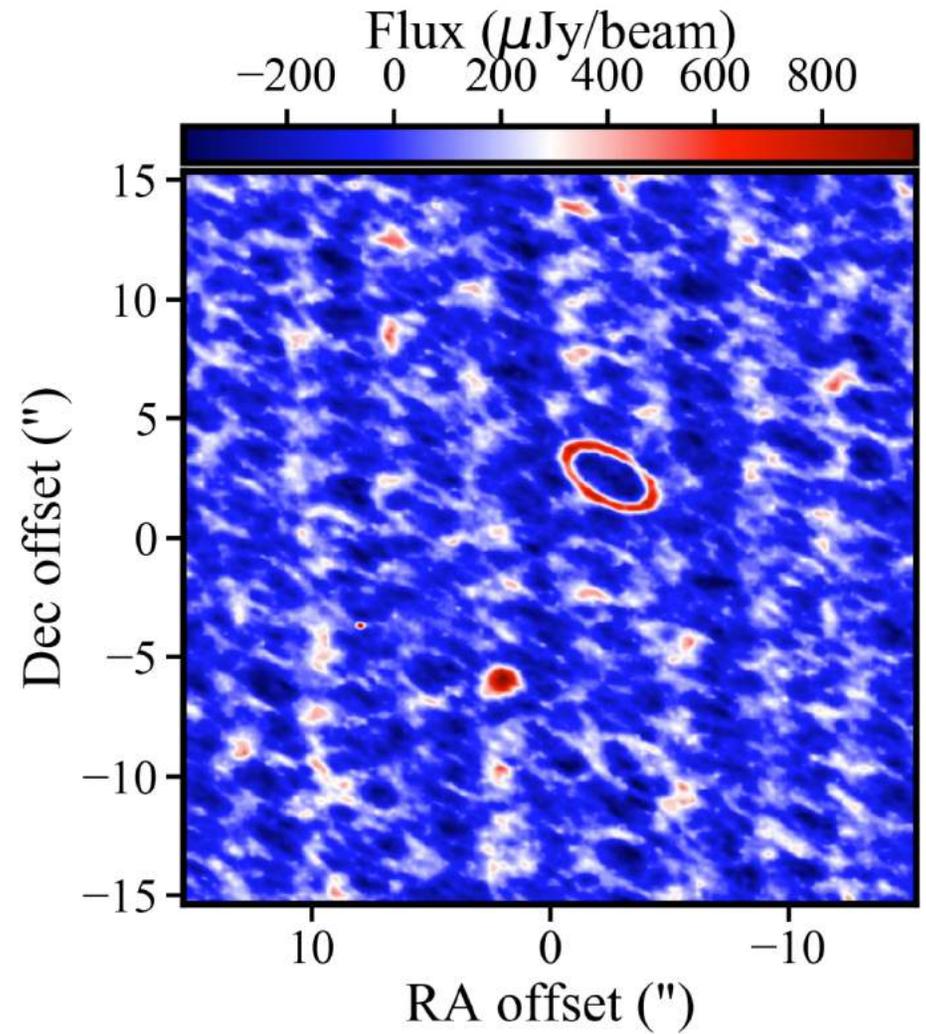
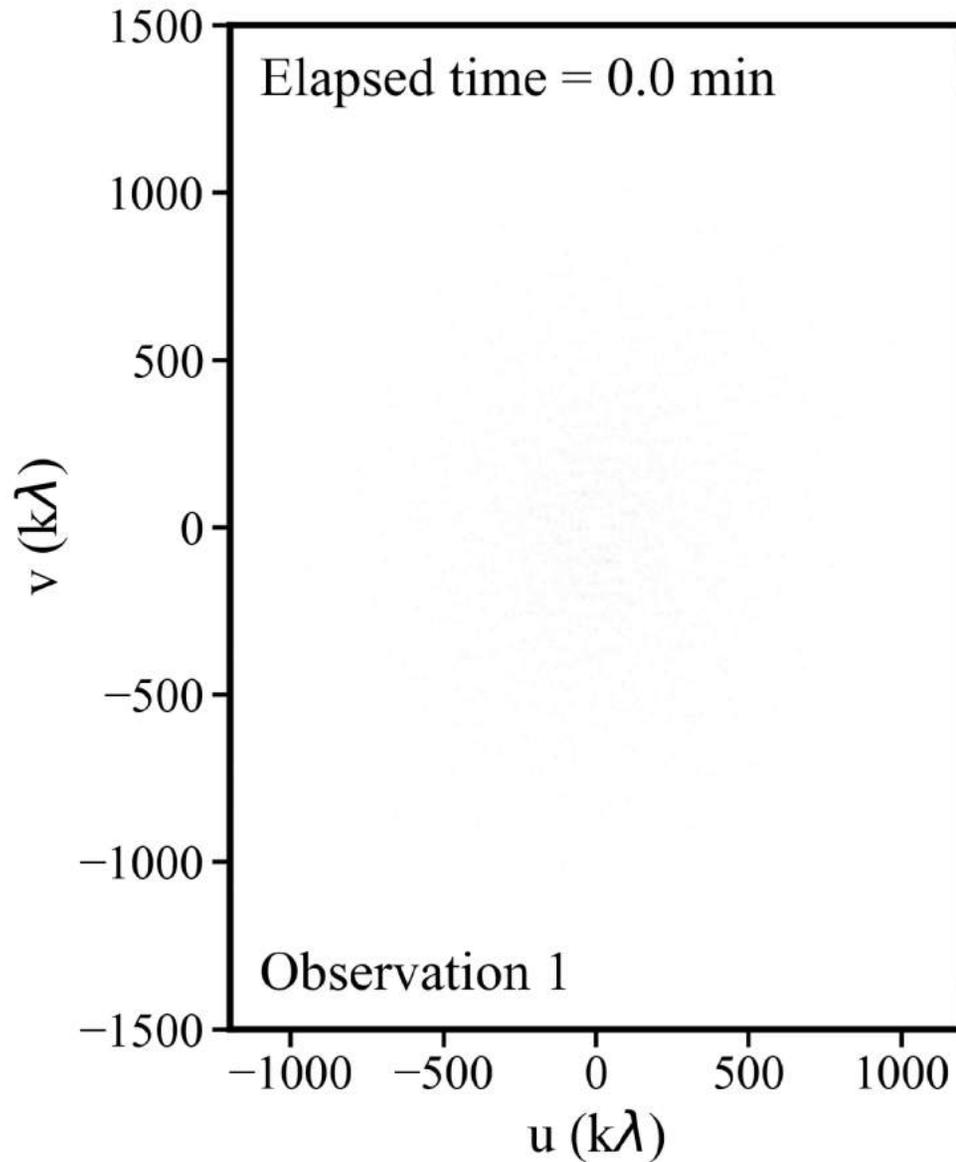
# Dirty Beam and Dirty Image



# (u,v) Plane Sampling: more antennas

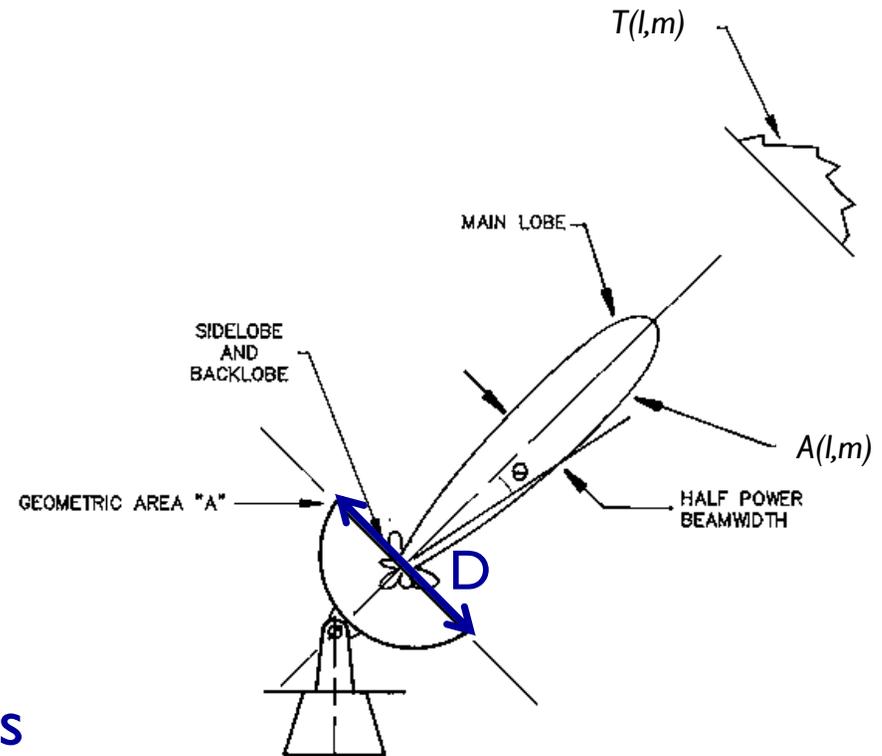


# (u,v) Plane Sampling: Earth rotation

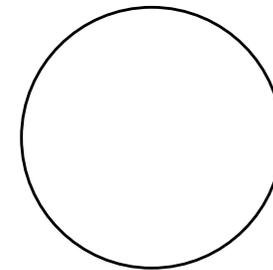


# Field of View

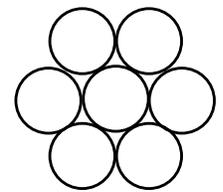
- antenna response  $A(l,m)$  is not uniform across the entire sky
  - “primary beam” fwhm  $\sim \lambda/D$
  - response beyond primary beam can be important (“sidelobes”)
- antenna response  $A(l,m)$  modifies the sky brightness distribution
  - $T(l,m) \rightarrow T(l,m)A(l,m)$
  - can correct with division by  $A(l,m)$  in the image plane
  - large source extents require multiple pointings of antennas = mosaicking [Brian Mason, Friday]



$T(l,m)$



ALMA 12 m  
115 GHz



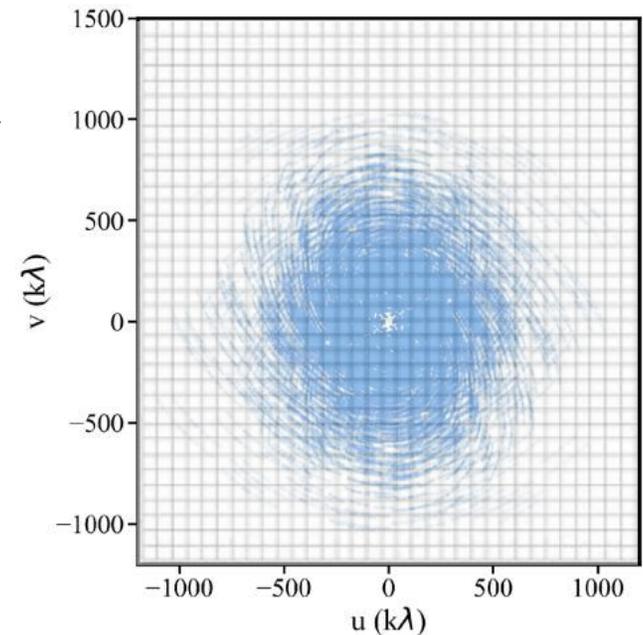
ALMA 12 m  
460 GHz

# FFTs and Gridding

- “Fourier transform”
  - Fast Fourier Transform (FFT) algorithm is much faster than simple Fourier summation,  $O(n \log n)$
  - FFT requires data on a regularly spaced grid
  - aperture synthesis does not provide  $V(u,v)$  on a regularly spaced grid, so...
- “gridding” used to resample  $V(u,v)$  for FFT
  - customary to use a convolution method
  - $(u,v)$  cell  $\approx 0.5D$ , where  $D$  = antenna diameter
  - special (“spheroidal”) functions that minimize smoothing and aliasing

$$V^G(u, v) = V(u, v)S(u, v) * G(u, v)$$
$$\xrightarrow{F} T^D(l, m)g(l, m)$$

CASA tclean “gridded”



# Pixel and Image Size

- pixel size: satisfy sampling theorem for longest baselines

$$\Delta l < \frac{1}{2u_{max}} \quad \Delta m < \frac{1}{2v_{max}}$$

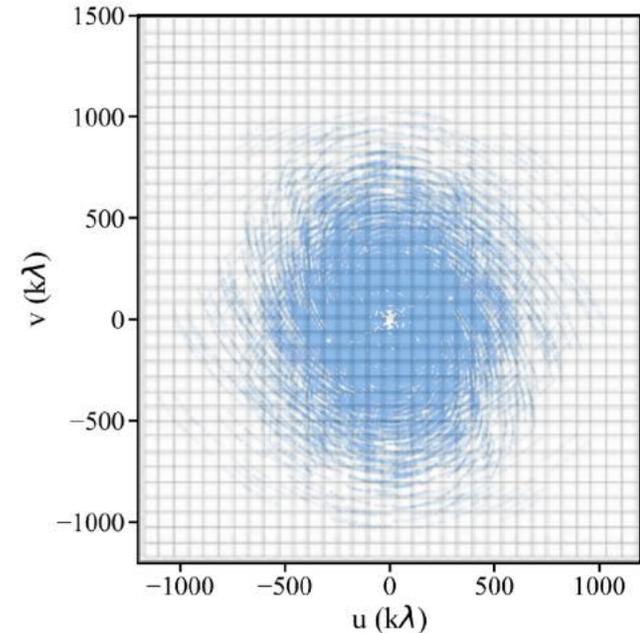
- in practice, 3 to 5 pixels across dirty beam main lobe to aid deconvolution
  - e.g. ALMA at 1.3 mm, baselines to 1 km  $\rightarrow$  pixel size  $< 0.13$  arcsec
  - CASA `tclean` “cell”
- image size: natural choice often full primary beam  $A(l,m)$ 
    - e.g. ALMA at 1.3 mm, 12 meter antennas  $\rightarrow$  image size  $2 \times 27$  arcsec
    - if there are bright sources in  $A(l,m)$  sidelobes, then the FFT will alias them into the image  $\rightarrow$  make a larger image (or image outlier fields)
    - CASA `tclean` “imsize”



# Visibility Weighting Schemes

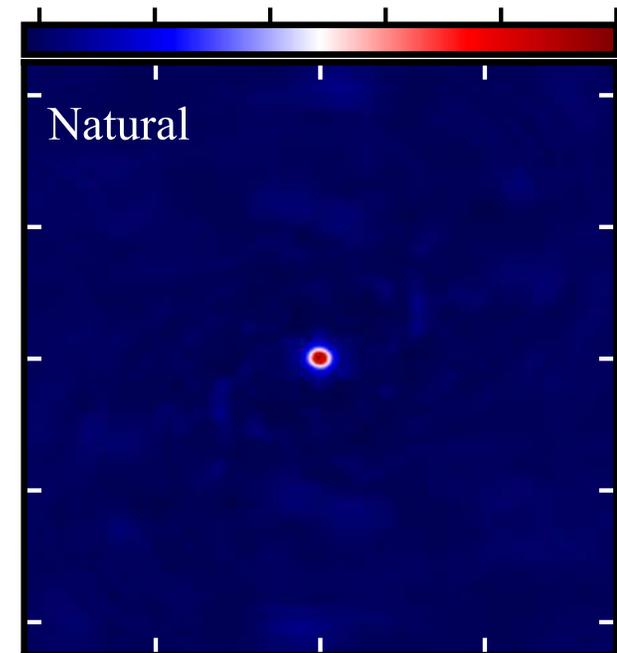
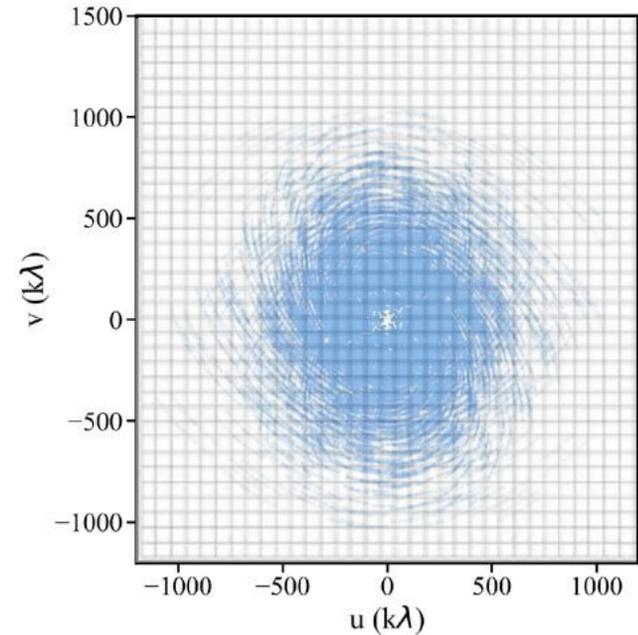
- introduce weighting function  $W(u,v)$
- modifies sampling function
- $S(u,v) \rightarrow S(u,v)W(u,v)$
- changes  $s(l,m)$ , the dirty beam
- $W(u,v)$  is gridded for FFT, too

CASA `tclean` “weighting”



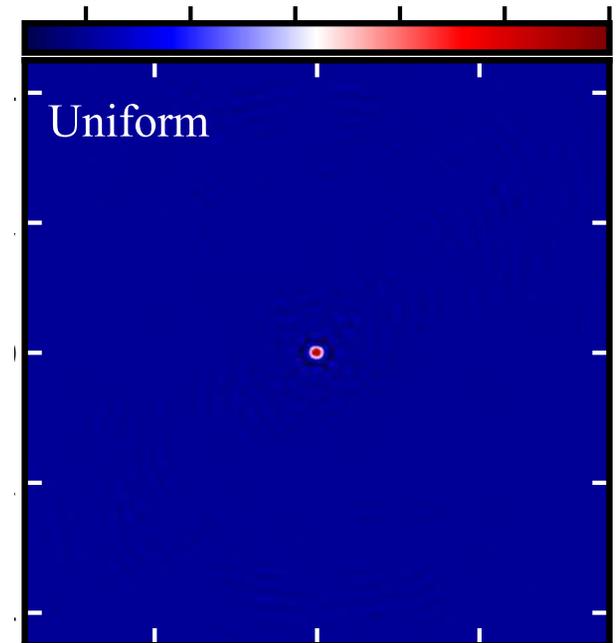
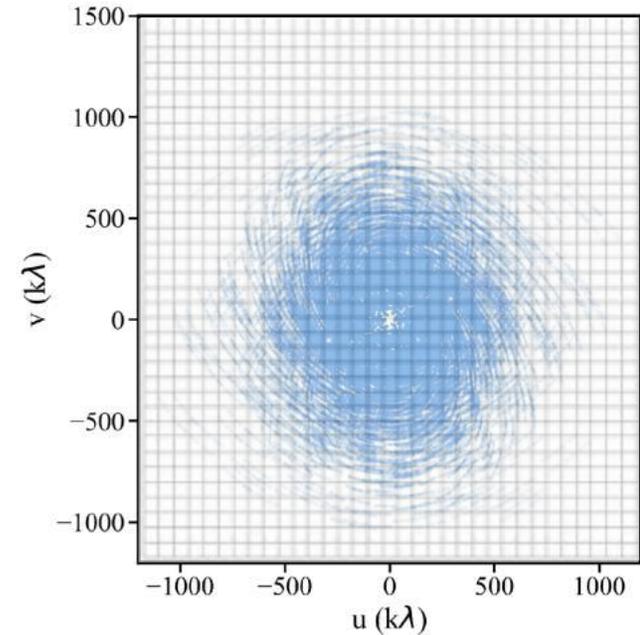
# Natural Weighting

- $W(u,v) = 1/\sigma^2$  in occupied cells, where  $\sigma^2$  is the noise variance
- generally gives more weight to short baselines, so the angular resolution is degraded
- maximizes point source sensitivity
- lowest rms in image



# Uniform Weighting

- $W(u,v)$  inversely proportional to local density of  $(u,v)$  samples
- weight for occupied cell = const
- fills  $(u,v)$  plane more uniformly so dirty beam sidelobes are lower
- gives more weight to long baselines, so angular resolution is enhanced
- downweights some data, so point source sensitivity is degraded
- n.b. can be trouble with sparse  $(u,v)$  coverage: cells with few samples have same weight as cells with many



# Robust (Briggs) Weighting

- variant of uniform weighting that avoids giving too much weight to cells with low natural weight
- software implementations differ

- e.g. 
$$W(u, v) = \frac{1}{\sqrt{1 + S_N^2 / S_{thresh}^2}}$$

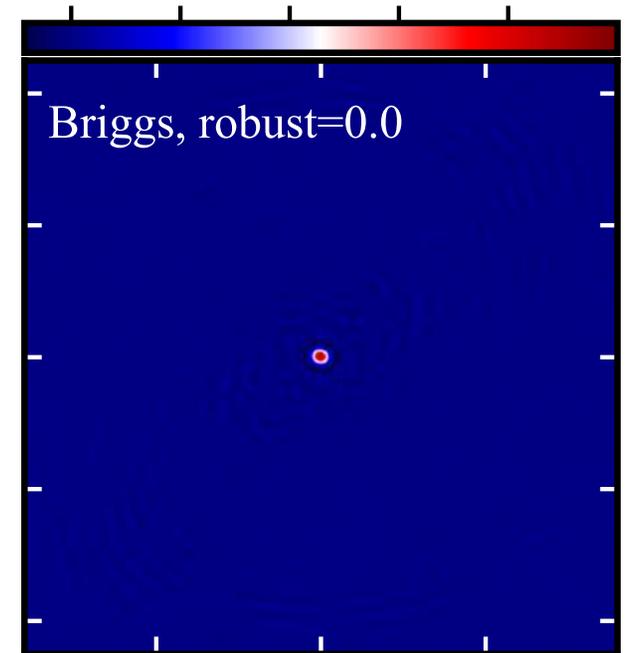
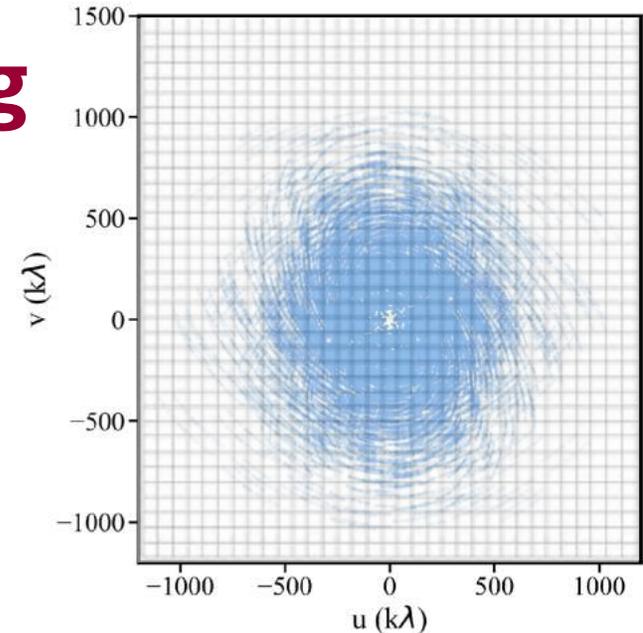
$S_N$  is cell natural weight

$S_{thresh}$  is a threshold

high threshold  $\rightarrow$  natural weight

low threshold  $\rightarrow$  uniform weight

*an adjustable parameter that allows for continuous variation between maximum point source sensitivity and resolution*



# ALMA C43-4 Configuration Resolution

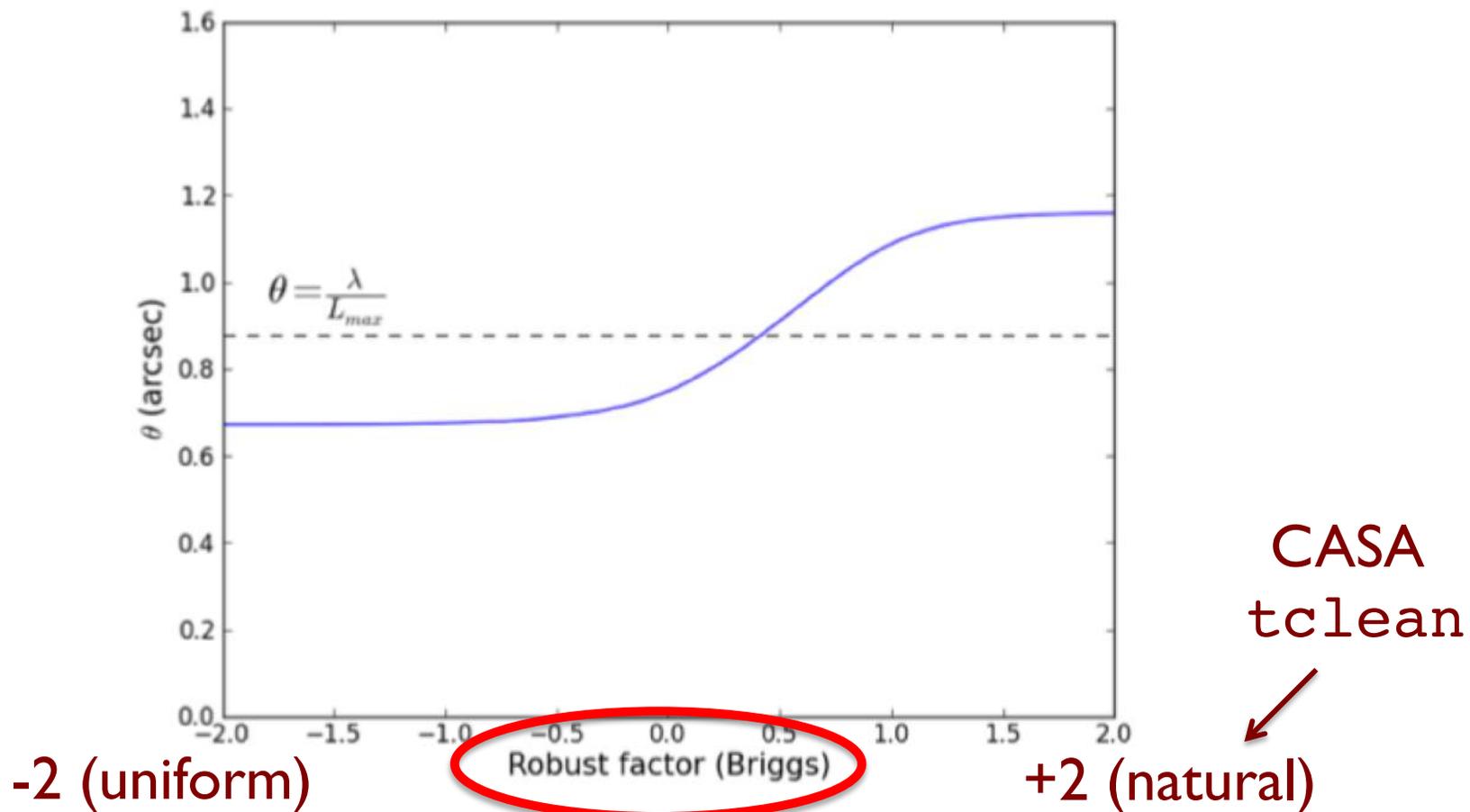


Figure 7.6: Angular resolution achieved using different values of the CASA *robust* parameter for a 1-hour observation at 100 GHz and a declination of -23 deg in the C43-4 configuration. Note that *robust* = -2 is close to uniform weighting and *robust* = 2 is close to natural weighting. The dotted line corresponds to  $k = 1$ .

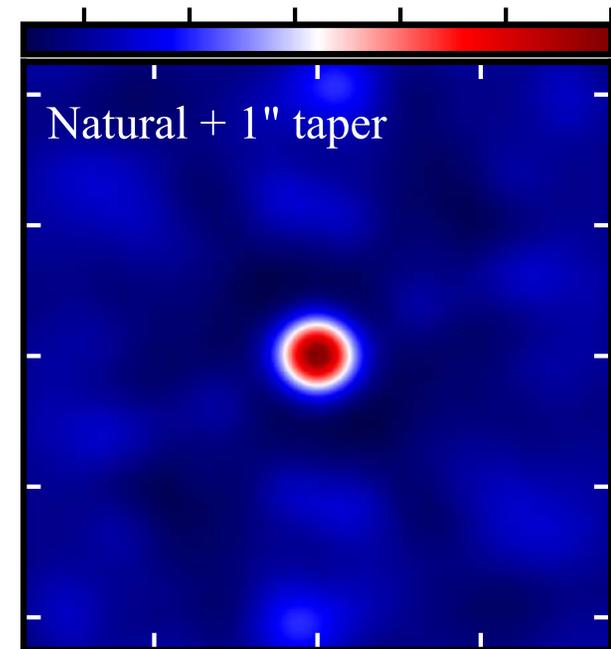
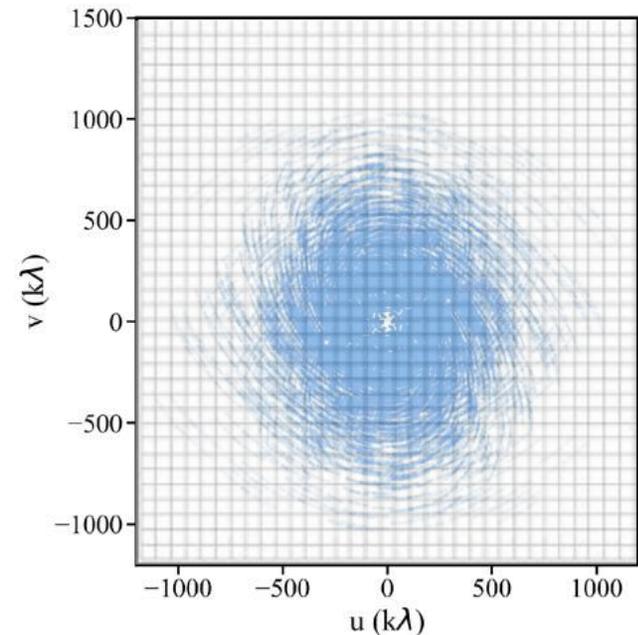
# Tapering

- apodize  $(u,v)$  sampling by a Gaussian

$$W(u, v) = \exp\left(-\frac{(u^2 + v^2)}{t^2}\right)$$

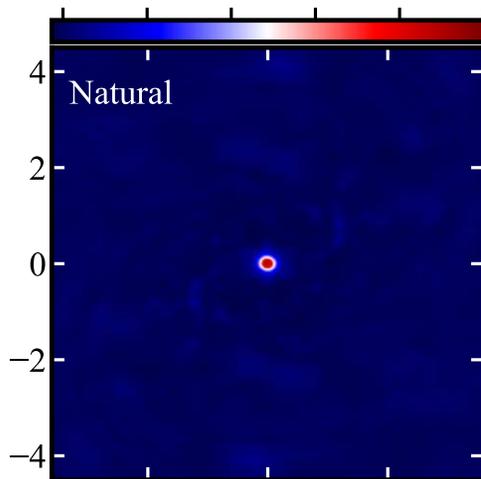
$t$  = adjustable tapering parameter

- like convolving image by a Gaussian
- downweights data at long baselines, so point source sensitivity degraded and angular resolution degraded
- may improve sensitivity to extended structure sampled by short baselines
- limits to usefulness

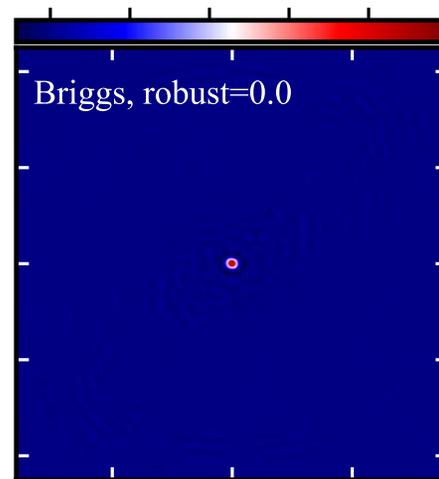


# Weighting Schemes and Noise

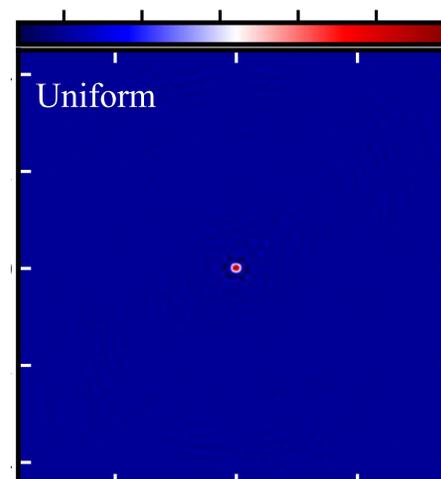
- natural = equal weight for all visibilities [lowest noise]
- uniform = equal weight for filled  $(u,v)$  cells [highest noise]
- robust/Briggs = continuous variation between natural and uniform
- taper = decrease resolution, increase surface brightness sensitivity



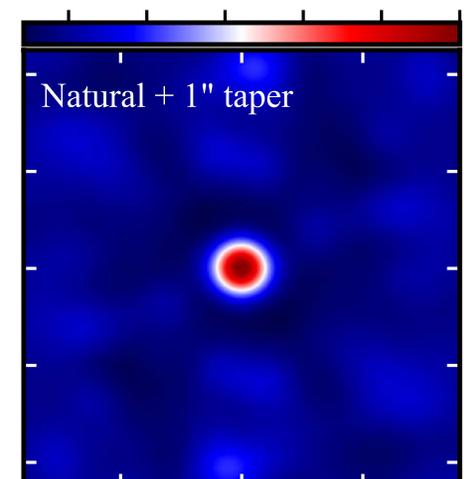
natural  
(rms 10  $\mu$ Jy/beam)



robust=0  
(rms 16  $\mu$ Jy/beam)



uniform  
(rms 28  $\mu$ Jy/beam)



natural + 1'' taper  
(rms 23  $\mu$ Jy/beam)



# Summary of Visibility Weighting Schemes

- imaging parameters provide a lot of freedom
- appropriate choices depend on science goals, e.g.
  - point source detection: natural weight
  - fine detail of strong source: uniform weight
  - complicated emission distribution: robust 0 to 1
  - weak and extended source: taper

	Robust/Uniform	Natural	Taper
resolution	higher	medium	lower
sidelobes	lower	higher	depends
point source sensitivity	lower	maximum	lower
extended source sensitivity	lower	medium	higher

# Beyond the Dirty Image

- to keep you awake at night...
- $\exists$  an infinite number of  $T(l,m)$  compatible with sampled  $V(u,v)$ , with “invisible” distributions  $R(l,m)$  where  $s(l,m) * R(l,m) = 0$ 
  - no data beyond  $u_{\max}, v_{\max}$   $\rightarrow$  unresolved structure
  - no data within  $u_{\min}, v_{\min}$   $\rightarrow$  limit on largest size scale
  - holes in between  $\rightarrow$  synthesized beam sidelobes
- also noise  $\rightarrow$  undetected/corrupted structure in  $T(l,m)$
- no unique prescription to extract optimum estimate of  $T(l,m)$



# Deconvolution Philosophy

- use non-linear techniques to interpolate/extrapolate samples of  $V(u,v)$  into unsampled regions of the  $(u,v)$  plane  
(remove sidelobes of the dirty beam from the image)
- aim to find a sensible model of  $T(l,m)$  compatible with data
- requires *a priori* assumptions about  $T(l,m)$  to pick plausible “invisible” distributions to fill unsampled parts of  $(u,v)$  plane
- main assumption: real sky does not look like typical dirty beam
- “clean” deconvolution algorithm (and its variants) by far dominant in radio astronomy, though there are others in use
- a very active research area, e.g. compressed sensing



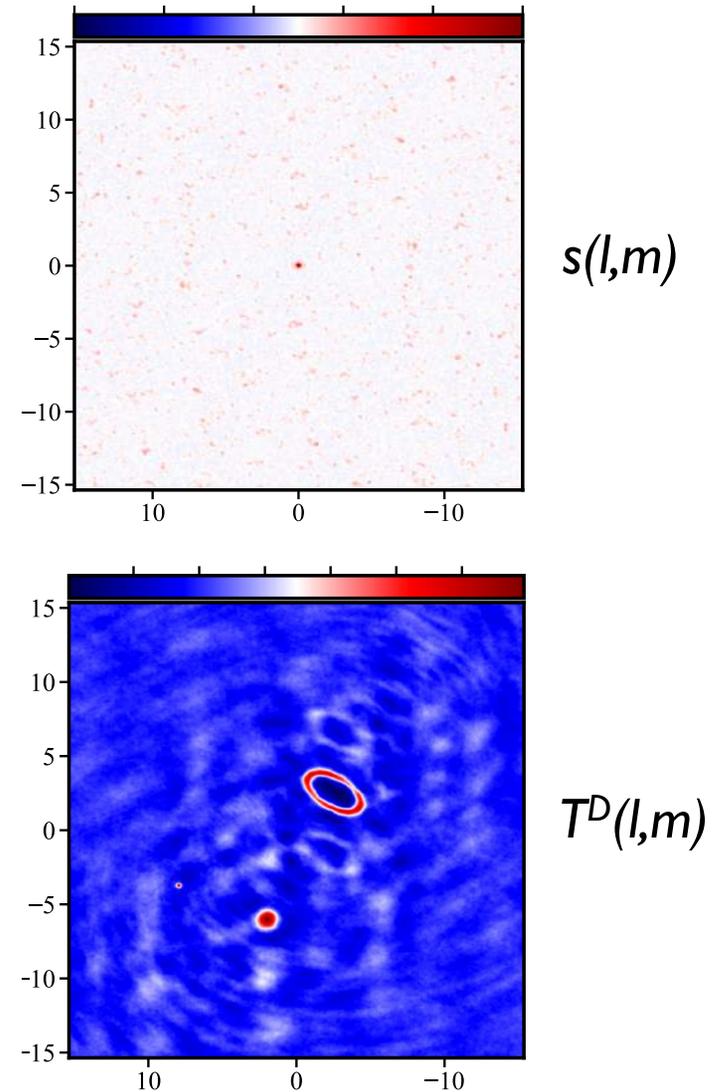
# Classic Högbom (1974) clean Algorithm

- *a priori* assumption:  $T(l,m)$  is a collection of point sources

initialize a *clean component* list

initialize a *residual image* = dirty image

1. identify the highest peak in the *residual image* as a point source
2. subtract a scaled dirty beam  $s(l,m)$  x “loop gain” from this peak
3. add this point source location and amplitude to the *clean component* list
4. goto step 1 (an iteration) unless stopping criterion reached



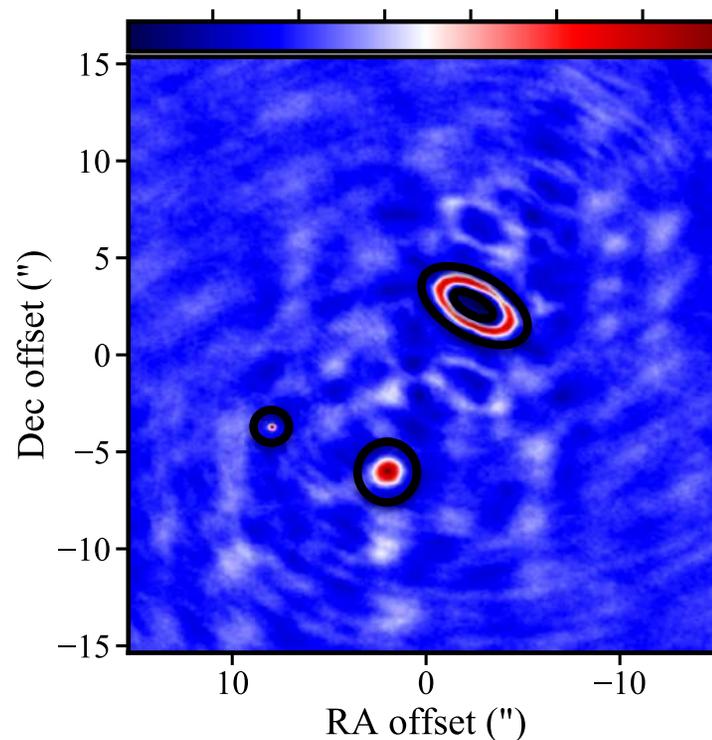
# Classic Högbom (1974) clean Algorithm

- stopping criterion
  - *residual map* maximum < threshold = multiple of rms , e.g. 2 x rms (if noise limited)
  - *residual map* maximum < threshold = fraction of dirty map maximum (if dynamic range limited)
- loop gain parameter
  - good results for  $g=0.1$  (CASA `tclean` default)
  - lower values can work better for smooth and extended emission
- don't "overclean" to artificially low noise level
  - generally a problem only when  $(u,v)$  coverage is sparse



# Classic Högbom (1974) clean Algorithm

- finite support
  - easy to include *a priori* information about where in the dirty map to search for *clean components*
  - implemented as image masks or clean boxes; CASA `tclean` “mask”
  - very useful, often essential for best results, but potentially dangerous
  - use with care
  - can be an arduous manual process; automasking under development

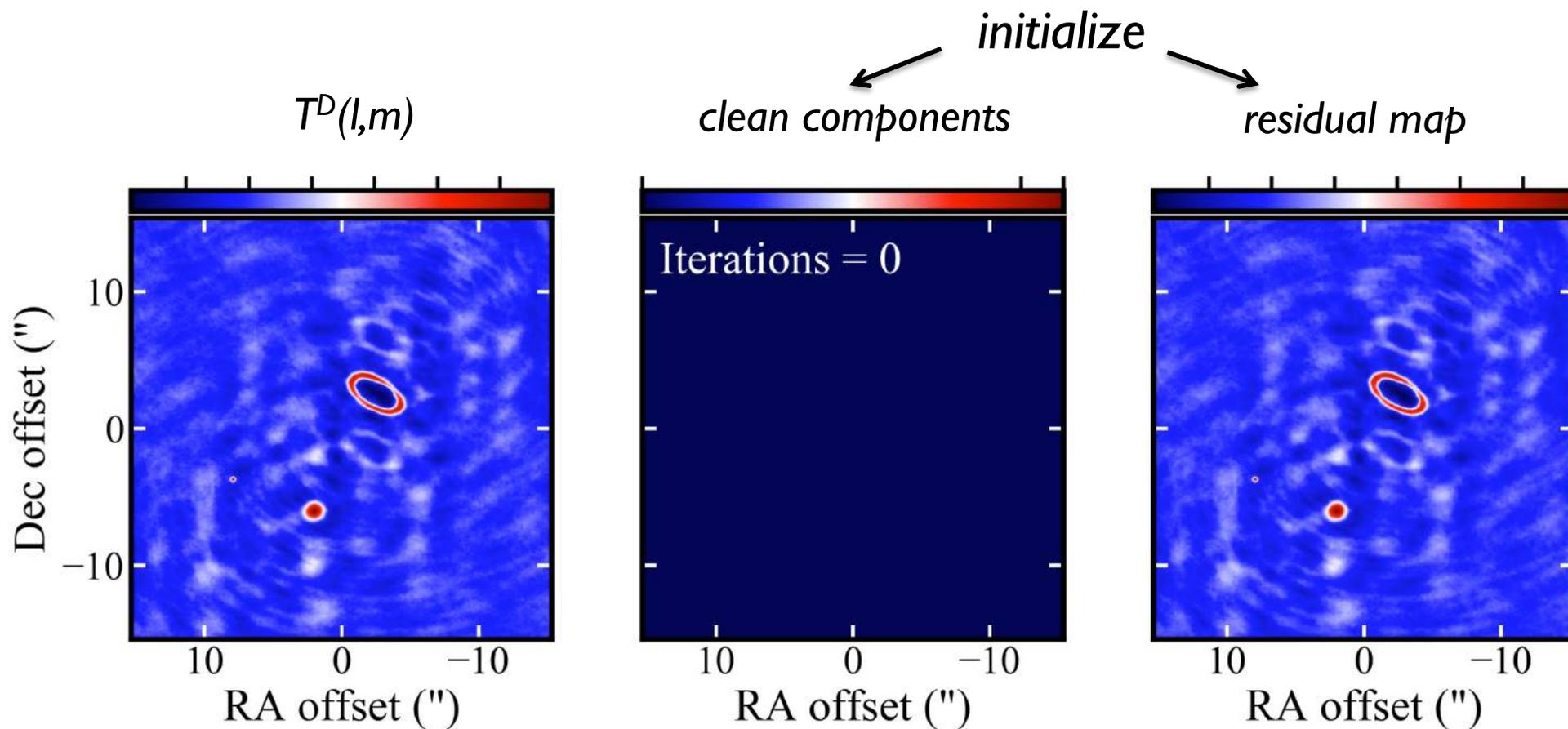


# Classic Högbom (1974) clean Algorithm

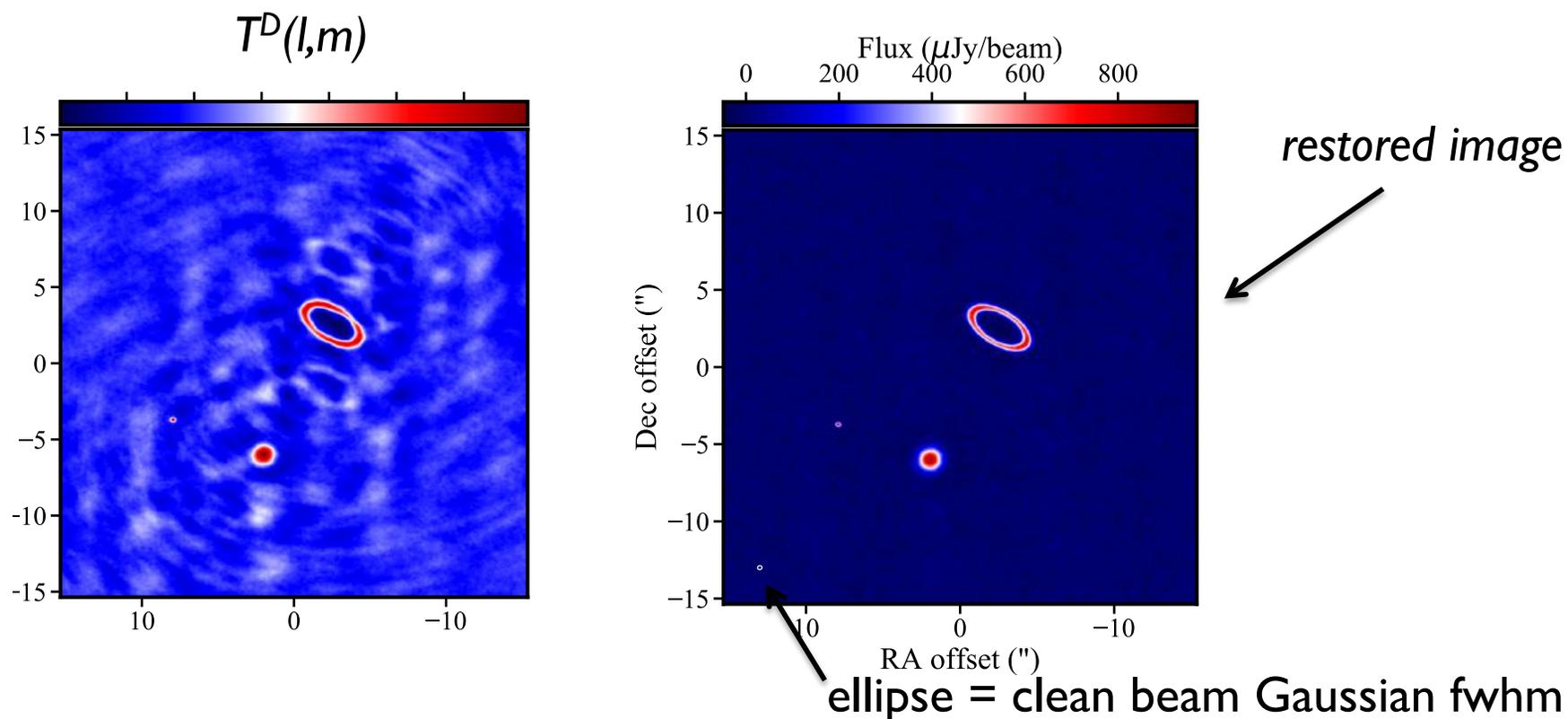
- last step is to create a final “restored” image
  - make a model image with all point source *clean components*
  - convolve point source model image with a “clean beam”, an elliptical Gaussian fit to the main lobe of the dirty beam (avoids super-resolution of the point source component model)
  - add back *residual map* with noise and structure below the threshold
- restored image is an estimate of the true sky brightness  $T(l,m)$ 
  - units of the restored image are (mostly) Jy per clean beam area = intensity, or brightness temperature
- Schwarz (1978) showed that clean is equivalent to a least squares fit of sinusoids to visibilities in the case of no noise



# clean algorithm example



# clean algorithm example: restored image

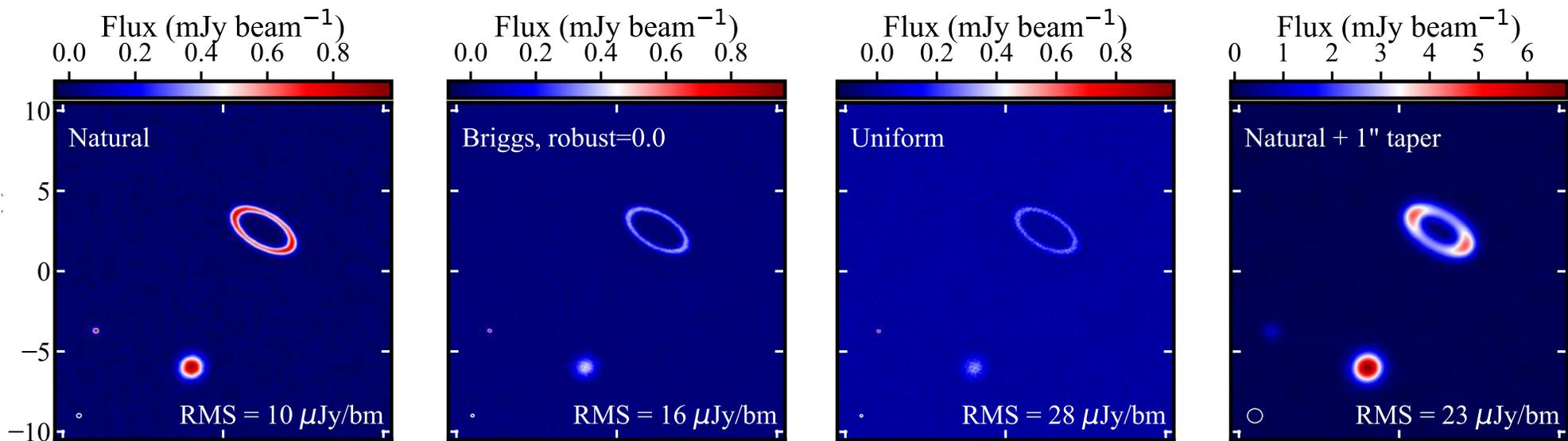


*final image depends on*

*imaging parameters (pixel size, visibility weighting scheme, gridding)  
and deconvolution (algorithm, iterations, masks, stopping criteria)*



# Results from Different Weighting Schemes



natural  
 $0.29 \times 0.25$  p.a. -81

robust=0  
 $0.19 \times 0.17$  p.a. -78

uniform  
 $0.17 \times 0.15$  p.a. -87

natural + 1'' taper  
 $0.93 \times 0.88$  p.a. -86



# Tune Imaging Parameters to Science

THE ASTROPHYSICAL JOURNAL LETTERS, 820:L40 (5pp), 2016 April 1

doi:10.3847/2041-8205/820/2/L40

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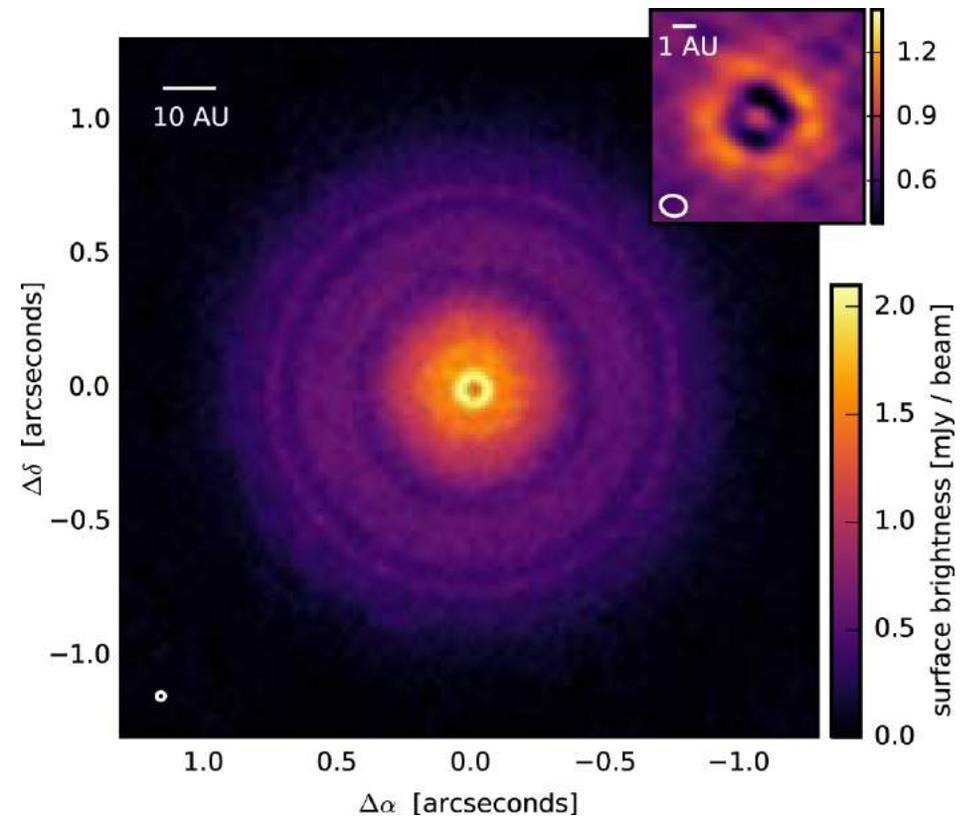


## RINGED SUBSTRUCTURE AND A GAP AT 1 au IN THE NEAREST PROTOPLANETARY DISK

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XUE-NING BAI<sup>1</sup>, KARIN I. ÖBERG<sup>1</sup>, A. MEREDITH HUGHES<sup>6</sup>, ANDREA ISELLA<sup>7</sup>, AND LUCA RICCI<sup>1</sup>

presents two images of 340 GHz emission from TW Hya protoplanetary disk from the same ALMA visibilities

- robust=0.5 + taper, for a circular 30 mas beam, to show the large scale structure of the disk
- inset: robust=0 for higher resolution,  $0.24 \times 0.18$  mas, to highlight the gap at 1 AU radius



# Variants on Basic clean Algorithm

- “Clark” clean
  - minor cycle
    - Högbom clean with smaller beam patch, improves speed
  - major cycle
    - clean components* removed from *gridded* visibilities at once by FFT
- “Cotton-Schwab” clean
  - minor cycle
    - Högbom clean with smaller beam patch, improves speed
  - major cycle
    - clean components* removed from *original* visibilities by FFT, then entire imaging process repeated to create residual image

see CASA `tclean` “deconvolver”



# Scale Sensitive Deconvolution

- basic clean is scale-free, treats each pixel as independent
- adjacent pixels in an image may not be independent
  - resolution limit
  - intrinsic source size: an extended source covering 1000 pixels might be better characterized by a few parameters than by 1000 parameters, e.g. 6 parameters for a Gaussian distribution
- scale sensitive deconvolution algorithms employ fewer degrees of freedom to model plausible sky brightness distributions

CASA `tclean` “`deconvolver=multiscale`” and “`scales`”

- user must input appropriate scales
- typically a few (delta function, synthesized beam size, few times that)



# CASA tclean filename extensions

- `<imagename>.image`
  - restored image
- `<imagename>.psf`
  - point spread function (= dirty beam)
- `<imagename>.model`
  - model image after deconvolution, e.g. clean components
- `<imagename>.residual`
  - residual image, e.g. after subtracting clean components
- `<imagename>.mask`
  - deconvolution mask
- `<imagename>.pb`
  - primary beam model
- `<imagename>.sumwt`
  - a single value sum of visibility weights [for natural weight,  $\text{rms}=(\text{sumwt})^{-0.5}$  ]



# Maximum Entropy Algorithm

- *a priori* assumption:  $T(l,m)$  is smooth and positive

maximize a measure of smoothness (entropy)\*

$$H = - \sum_k T_k \log \left( \frac{T_k}{M_k} \right)$$

subject to the constraints

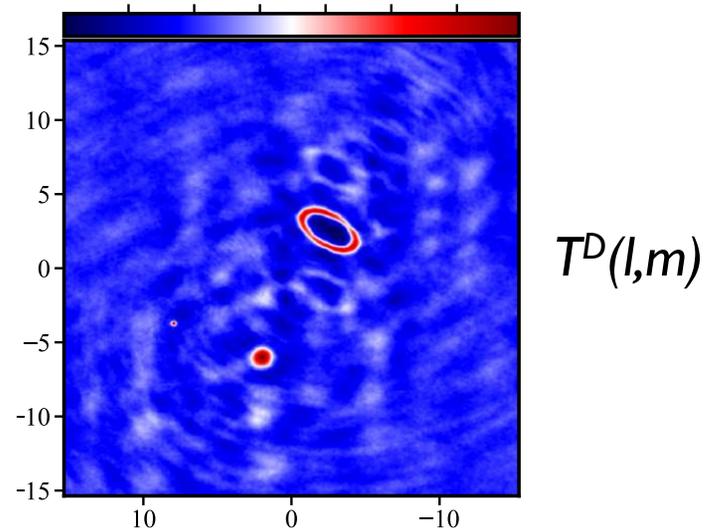
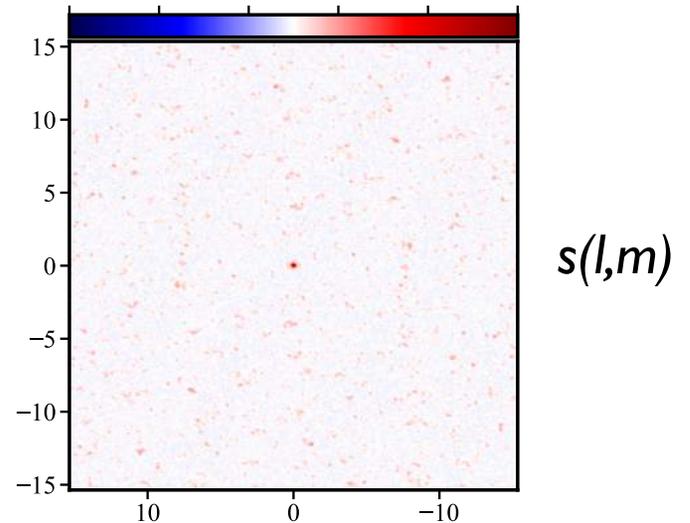
$$\chi^2 = \sum_k \frac{|V(u_k, v_k) - \text{FT}\{T\}|^2}{\sigma_k^2}$$

$$F = \sum_k T_k$$

where  $M$  is the “default image”

fast (NlogN) solver, Cornwell & Evans (1983)

optional: convolve with Gaussian beam and add residual image to make final image



\*vast literature about deep meaning of entropy as information content

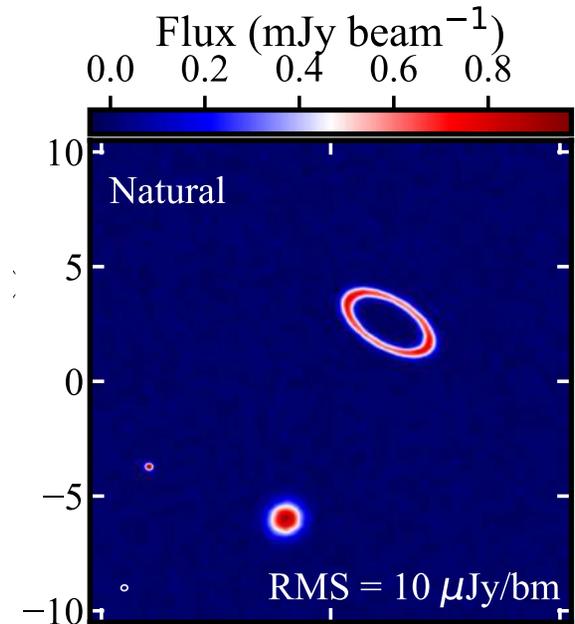
# Maximum Entropy Algorithm

- easy to include *a priori* information with the default image
  - flat default is usual assumption if nothing known
  - a single dish image may be a good default
- straightforward to generalize  $\chi^2$  to combine different observations/telescopes to obtain optimal image
- many measures of entropy available
  - e.g. replace log with cosh  $\rightarrow$  “emptiness” (does not enforce positivity)
- less robust and harder to drive than clean
- works best on smooth, extended emission
- can have trouble with point source sidelobes  
(could remove the point sources first with clean)



# Measures of Image Quality

- *dynamic range*
  - ratio of peak brightness in image to rms noise in a region void of emission
  - easy way to calculate a lower limit to the error in brightness in a non-empty region
  - e.g. peak = 0.9 mJy/beam, rms = 10  $\mu$ Jy/beam
    - dynamic range = 90
- *fidelity*
  - difference between any produced image and the correct image
  - fidelity image = input model / difference
    - = model \* beam / abs(model \* beam – reconstruction)
    - = inverse of the relative error
  - need knowledge of the correct image to calculate
  - fidelity often much worse than dynamic range



# “Invisible” Large Scale Structure

- important structure missed in central hole of  $(u,v)$  plane
- to estimate if lack of short baselines will be problematic
  - simulate the observations with a source model
  - check simple expressions for a Gaussian source or uniform disk

## Homework Problem

- Q: By what factor is the central brightness reduced as a function of source size due to missing short spacings for a Gaussian characterized by fwhm  $\theta_{1/2}$
- A: a Gaussian source central brightness is reduced 50% when

$$\theta_{1/2} = 18'' \left( \frac{\nu}{100 \text{ GHz}} \right)^{-1} \left( \frac{B_{min}}{15 \text{ meters}} \right)^{-1}$$

where  $B_{min}$  is the shortest baseline [meters],  $\nu$  is the frequency [GHz]  
(derivation in appendix of Wilner & Welch 1994, ApJ, 427, 898)



# ALMA “Maximum Recoverable Scale”

- adopted to be 10% of the total flux density of a uniform disk (not much!)

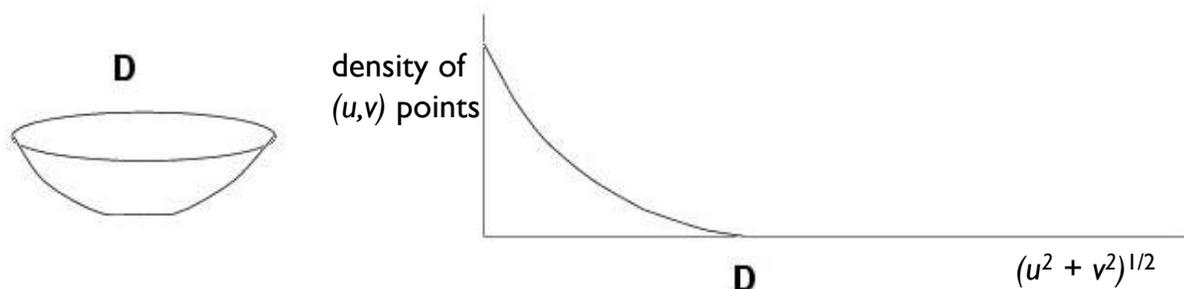
	Band	3	4	5	6	7	8	9	10
	Frequency (GHz)	100	150	185	230	345	460	650	870
Configuration									
7-m	$\theta_{res}$ (arcsec)	12.5	8.35	6.77	5.45	3.63	2.72	1.93	1.44
	$\theta_{MRS}$ (arcsec)	66.7	44.5	36.1	29.0	19.3	14.5	10.3	7.67
C43-1	$\theta_{res}$ (arcsec)	3.38	2.25	1.83	1.47	0.98	0.735	0.52	0.389
	$\theta_{MRS}$ (arcsec)	28.5	19.0	15.4	12.4	8.25	6.19	4.38	3.27
C43-2	$\theta_{res}$ (arcsec)	2.3	1.53	1.24	0.999	0.666	0.499	0.353	0.264
	$\theta_{MRS}$ (arcsec)	22.6	15.0	12.2	9.81	6.54	4.9	3.47	2.59
C43-3	$\theta_{res}$ (arcsec)	1.42	0.943	0.765	0.615	0.41	0.308	0.218	0.163
	$\theta_{MRS}$ (arcsec)	16.2	10.8	8.73	7.02	4.68	3.51	2.48	1.86
C43-4	$\theta_{res}$ (arcsec)	0.918	0.612	0.496	0.399	0.266	0.2	0.141	0.106
	$\theta_{MRS}$ (arcsec)	11.2	7.5	6.08	4.89	3.26	2.44	1.73	1.29
C43-5	$\theta_{res}$ (arcsec)	0.545	0.363	0.295	0.237	0.158	0.118	0.0838	0.0626
	$\theta_{MRS}$ (arcsec)	6.7	4.47	3.62	2.91	1.94	1.46	1.03	0.77
C43-6	$\theta_{res}$ (arcsec)	0.306	0.204	0.165	0.133	0.0887	0.0665	0.0471	0.0352
	$\theta_{MRS}$ (arcsec)	4.11	2.74	2.22	1.78	1.19	0.892	0.632	0.472
C43-7	$\theta_{res}$ (arcsec)	0.211	0.141	0.114	0.0917	0.0612	0.0459	0.0325	0.0243
	$\theta_{MRS}$ (arcsec)	2.58	1.72	1.4	1.12	0.749	0.562	0.398	0.297
C43-8	$\theta_{res}$ (arcsec)	0.096	0.064	0.0519	0.0417	0.0278	-	-	-
	$\theta_{MRS}$ (arcsec)	1.42	0.947	0.768	0.618	0.412	-	-	-
C43-9	$\theta_{res}$ (arcsec)	0.057	0.038	0.0308	0.0248	-	-	-	-
	$\theta_{MRS}$ (arcsec)	0.814	0.543	0.44	0.354	-	-	-	-
C43-10	$\theta_{res}$ (arcsec)	0.042	0.028	0.0227	0.0183	-	-	-	-
	$\theta_{MRS}$ (arcsec)	0.496	0.331	0.268	0.216	-	-	-	-

Table 7.1: Resolution ( $\theta_{res}$ ) and maximum recoverable scale ( $\theta_{MRS}$ ) for the 7-m Array and 12-m Array configurations available during Cycle 6 as a function of a representative frequency in a band. The value of  $\theta_{MRS}$  is computed using the 5<sup>th</sup> percentile baseline (L05) from Table 7.2 and Equation 7.7. The value of  $\theta_{res}$  is the mean size of the interferometric beam obtained through simulation with CASA, using Briggs ( $u, v$ ) plane weighting with  $robust=0.5$ . The computations were done for a source at zenith; for sources transiting at lower elevations, the North-South angular measures will increase proportional to  $1/\sin(\text{ELEVATION})$ .



# Techniques to Obtain Short Spacings (I)

use a large single dish telescope



- all Fourier components from 0 to D sampled, where D is dish diameter (weighting depends on illumination)
- scan single dish across sky to make an image  $T(l,m) * A(l,m)$   
where  $A(l,m)$  is the single dish response pattern
- Fourier transform single dish image,  $T(l,m) * A(l,m)$ , to get  $V(u,v)a(u,v)$   
and then divide by  $a(u,v)$  to estimate  $V(u,v)$  for baselines  $< D$
- choose D large enough to overlap interferometer samples of  $V(u,v)$   
and avoid using data where  $a(u,v)$  becomes small
- example: VLA and GBT

# Techniques to Obtain Short Spacings (II)

use a separate array of smaller antennas

- small antennas can observe short baselines inaccessible to larger ones
- the larger antennas can be used as single dish telescopes to make images with Fourier components not accessible to the smaller antennas
- example: ALMA main array + ACA

main array

50x12m: 12m to 16km

ACA

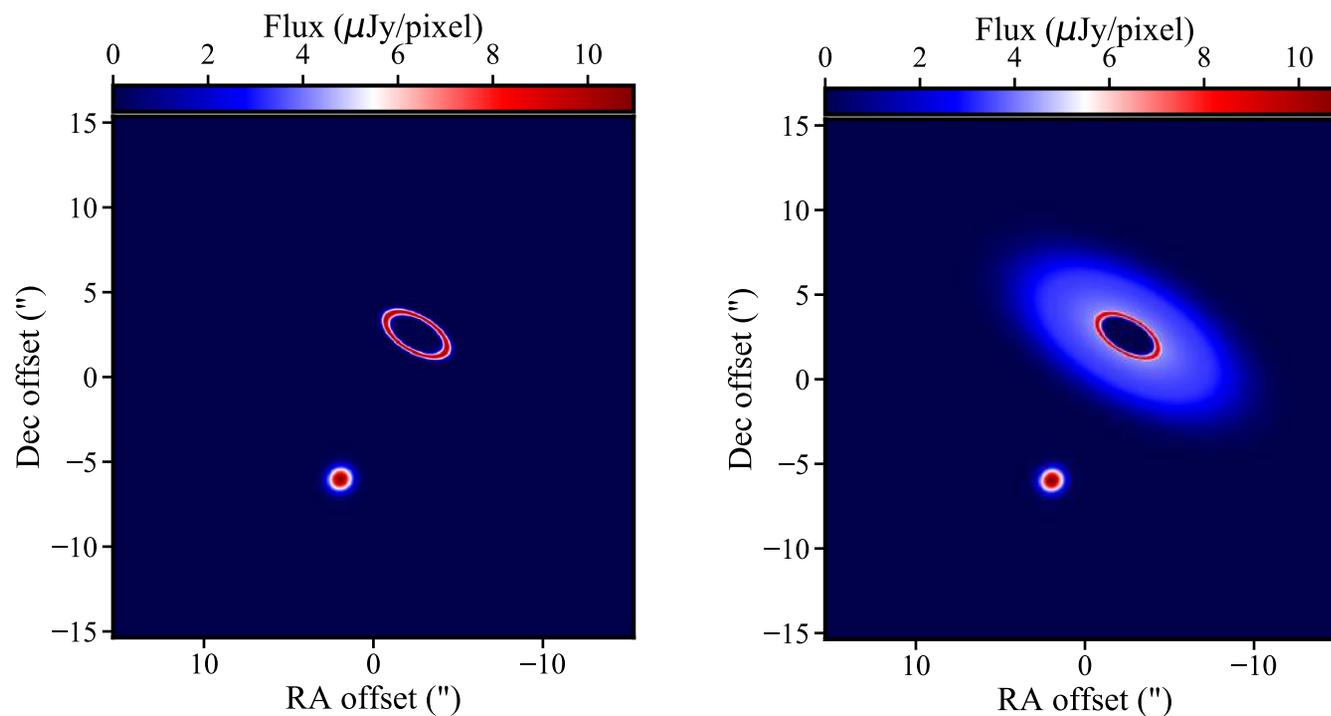
12 x 7m: covers 7 to 12m+

4 x 12m single dishes: 0 to 7m

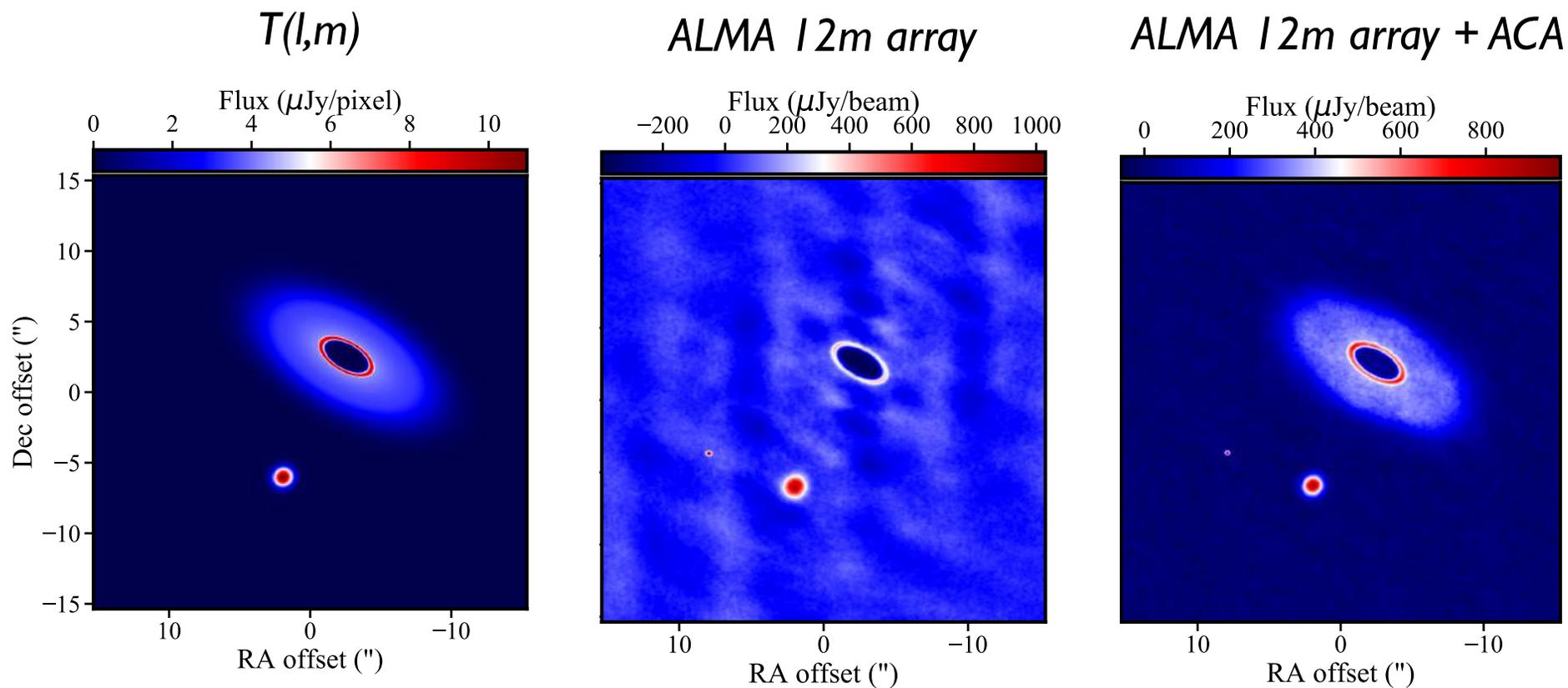


# Missing Short Baselines: Demonstration

- Do the visibilities observed in our example discriminate between these two models of sky brightness  $T(l,m)$ ?



# Missing Short Baselines: Demonstration



*n.b. clean does not reach theoretical rms due to poorly sampled extended structure*

*much improved*

# Example: Missing Short Baselines

THE ASTROPHYSICAL JOURNAL, 855:56 (10pp), 2018 March 1

<https://doi.org/10.3847/1538-4357/aaacd7>

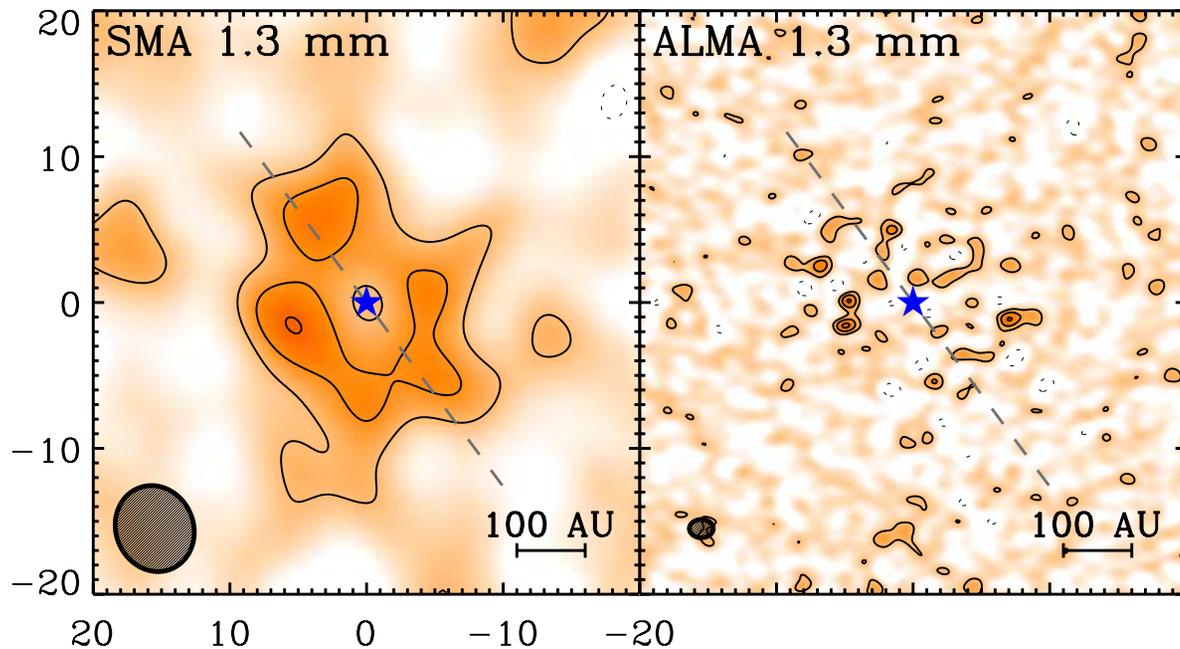
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## Resolved Millimeter Observations of the HR 8799 Debris Disk

David J. Wilner<sup>1</sup> , Meredith A. MacGregor<sup>1,2,6</sup> , Sean M. Andrews<sup>1</sup> ,  
A. Meredith Hughes<sup>3</sup>, Brenda Matthews<sup>4</sup> , and Kate Su<sup>5</sup>

SMA 8x6m



Booth et al. 2016

ALMA 38x12m



beam 6.1x5.6 arcsec

rms 180  $\mu$ Jy/beam

beam 1.7x1.2 arcsec

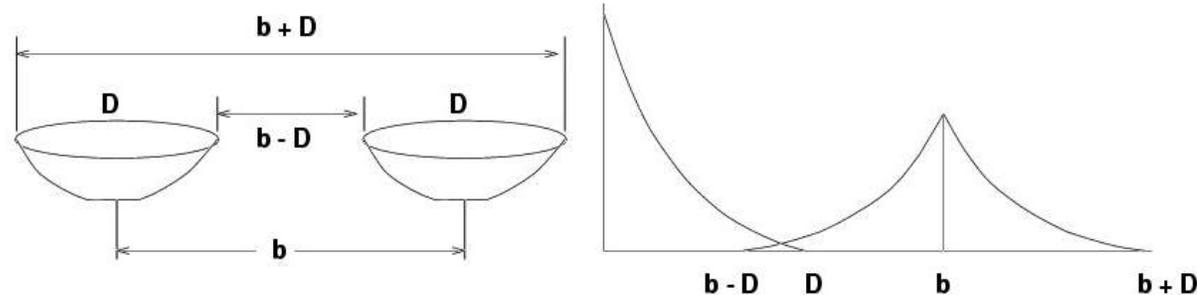
rms 16  $\mu$ Jy/beam



# Techniques to Obtain Short Spacings (III)

mosaic with a homogeneous array

- recover a range of spatial frequencies around the nominal baseline  $b$  using knowledge of  $A(l,m)$ , shortest spacings from single dishes (Ekers & Rots 1979)



- $V(u,v)$  is a linear combination of baselines from  $b-D$  to  $b+D$
- depends on pointing direction  $(l_0, m_0)$  as well as on  $(u,v)$

$$V(u, v; l_0, m_0) = \int \int T(l, m) A(l - l_0, m - m_0) e^{i2\pi(ul + vm)} dl dm$$

- Fourier transform with respect to pointing direction  $(l_0, m_0)$

$$V(u - u_0, v - v_0) = \left( \int \int V(u, v; l_0, m_0) e^{i2\pi(u_0 l_0 + v_0 m_0)} dl_0 dm_0 \right) / a(u_0, v_0)$$

# Self Calibration

- *a priori* calibration from external calibrators must be interpolated from different time and sky direction from source, which leaves errors
- self calibration corrects for antenna based phase and amplitude errors *together with imaging* to create an improved source model
- why should this work?
  - at each time, measure  $N$  complex gains and  $N(N-1)/2$  visibilities
  - source structure can be represented by small number of parameters
  - a highly overconstrained problem if  $N$  large and source simple
- in practice, an iterative, non-linear relaxation process
  - assume source model  $\rightarrow$  solve for time dependent gains  $\rightarrow$  form new source model from corrected data using clean  $\rightarrow$  solve for new gains
  - requires sufficient signal-to-noise at each solution interval
- loses absolute phase from calibrators and therefore position information
- dangerous with small  $N$  arrays, complex sources, low signal-to-noise

# Concluding Remarks

- interferometry samples Fourier components of sky brightness
- make an image by Fourier transforming sampled visibilities
- deconvolution attempts to correct for incomplete sampling
- remember
  - there are an infinite number of images compatible with the visibilities
  - missing (or corrupted) visibilities affect the entire image
  - astronomers must use judgement in imaging and deconvolution
- it's fun and worth the trouble → high resolution images!

*many, many issues not covered in this talk, see references*



**END**

